

Fibers of Maps to  
Totally Nonnegative Spaces  
≠ the Fomin-Shapiro Conjecture

Patricia Hersh

North Carolina State University

# Outline for Talk

1. Background & Motivations


2. Forman-Shepuro Conjecture  
(2014 proof, H., Invent.)

◆ image ( $f_{(i_1 \dots i_d)}$ ) is regular CW ball

3. Fibers of  $f_{(i_1 \dots i_d)}$

(recent joint work with  
Jim Davis & Ezra Miller)

4. Spaces with (conjecturally  
& provably) analogous structure

(Recall: )  
closed ball  $\uparrow$  homeomorphic

# Topological Aspects of Total Positivity

- Lusztig (94), Fomin-M. Shapiro (00)...  
initiate study of: **totally nonneg, real**  
**part of spaces of matrices,**  
**spaces of flags (i.e.  $GL_n/B$ )...**  
**(i.e. having all minors nonnegative)**
- Conjecturably / provably  
homeomorphic to closed balls
- Proving this by studying  
fibers (as is done in H.  $\ddagger$  in DHM)
  - imposes restrictions on  $rel'n's$   
amongst exp'd Chevalley gen's
  - reveals structure in Lusztig's  
canonical bases

# Background on Coxeter Groups

- $s_i := (i, i+1)$  a **simple reflection**  
type A (i.e.  $W = S_n$ ) in  $W$
- $s_{i_1} \dots s_{i_d}$  is **reduced expression** for  $w \in W$  if  $w = s_{i_1} \dots s_{i_d}$  for  $d$  as small as possible
- **length** of  $w$ , denoted  $l(w)$ , is this smallest  $d$ .

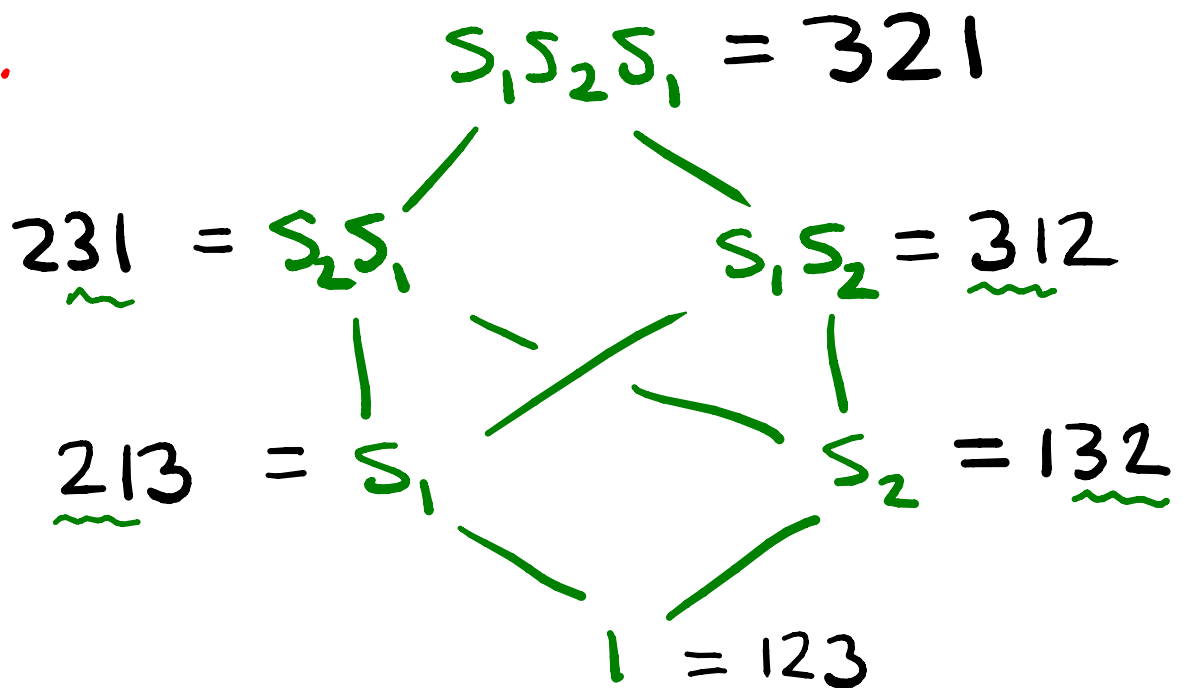
e.g.  $s_1 s_2 s_1 = s_2 s_1 s_2$  has length 3

$$\underline{321} \xrightarrow{s_1} \underline{231} \xrightarrow{s_2} \underline{213} \xrightarrow{s_1} 123$$



- $(112, 121)$  is reduced word
- Bruhat order: partial order

e.g.



on  $W$  with  $u \leq v$  for  
 $u, v \in W \iff$  any reduced  
 word for  $v$  has subword that  
 is reduced word for  $u$ .

# Running Example: Totally Nonnegative Part of a Space of Matrices

$\bullet \chi_i(t) = I_n + t E_{i,i+1} = \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & \ddots & \\ & & & 1+t \\ & & & & \ddots \\ & & & & & 1 \end{pmatrix}$

(general finite type, exponential Chevalley generator)

$\exp(t e_i)$  (type A)

column  $i+1$   
 row  $i$

$\bullet f_{(i_1, \dots, i_d)}: \mathbb{R}_{\geq 0}^d \rightarrow M_{n \times n} \subseteq \mathbb{R}^{n^2}$

reduced word  $(t_1, \dots, t_d) \mapsto \chi_{i_1}(t_1) \cdots \chi_{i_d}(t_d)$

e.g.  $f_{(1,2,1)}(t_1, t_2, t_3) = \chi_1(t_1) \chi_2(t_2) \chi_1(t_3)$

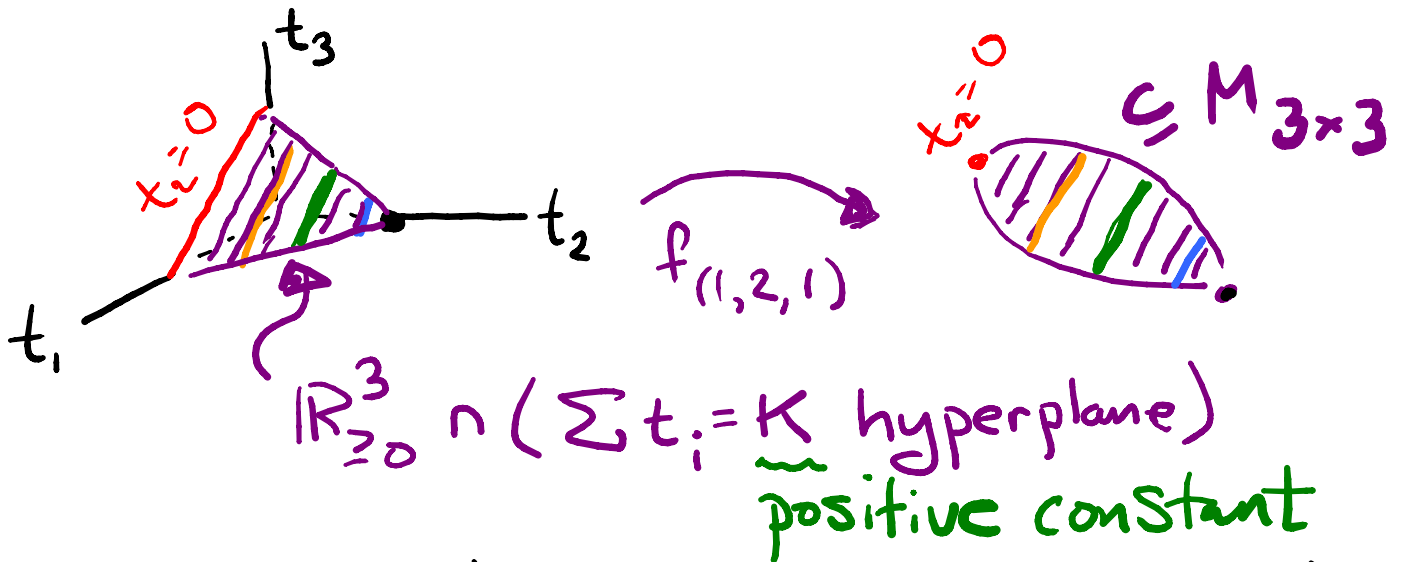
$$= \begin{pmatrix} 1 & t_1 & \\ & 1 & \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & & \\ & 1+t_2 & \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & & t_3 \\ & 1 & \\ & & 1 \end{pmatrix}$$

wo case:

$$\left\{ \begin{pmatrix} 1 & * & \\ & 1 & * \\ & & 1 \end{pmatrix} \mid \text{tot. nonneg.} \right\}$$

$$= \begin{pmatrix} 1 & t_1+t_3 & t_1 t_2 \\ 0 & 1 & t_2 \\ 0 & 0 & 1 \end{pmatrix}$$

# "Picture" of $M_{\text{map}} f_{(1,2,1)}$



$$f_{(1,2,1)}(t_1, t_2, t_3) = \begin{pmatrix} 1 & t_1 \\ & 1 \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & t_2 \\ & 1 \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & t_3 \\ & 1 \\ & & 1 \end{pmatrix}$$

$t_2 = 0$

$$x_1(t_1) \cdot x_1(t_3)$$

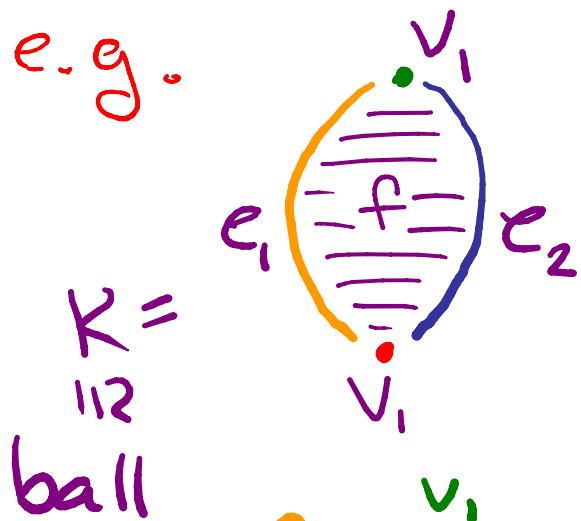
$$\begin{aligned}
 f_{(1,2,1)}(t_1, 0, t_3) &= \begin{pmatrix} 1 & t_1 \\ & 1 \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & t_3 \\ & 1 \\ & & 1 \end{pmatrix} \\
 &= \begin{pmatrix} 1 & t_1 + t_3 \\ & 1 \\ & & 1 \end{pmatrix} = x_1(t_1 + t_3)
 \end{aligned}$$

simplex faces w/ same image " $x_1^2 = x_1$ "

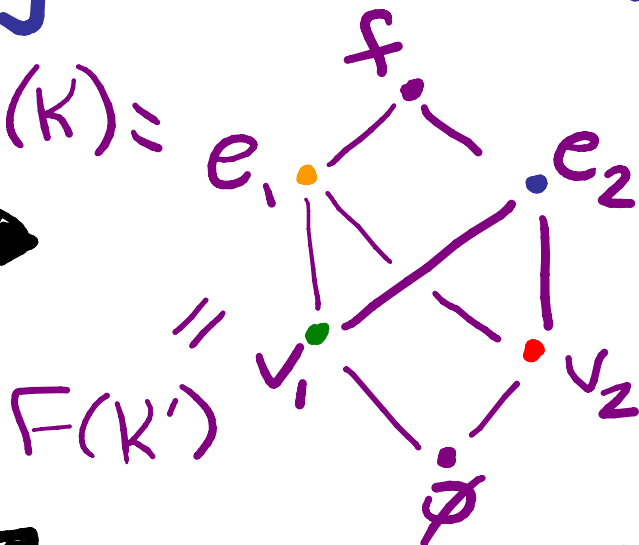
e.g.  $\{x_1(t) \mid t > 0\} = \{x_1(t_1)x_1(t_2) \mid t_1, t_2 > 0\}$

# CW Complexes $\neq$ their Face Posets (Partially Ordered Sets)

e.g.



$$F(K) =$$

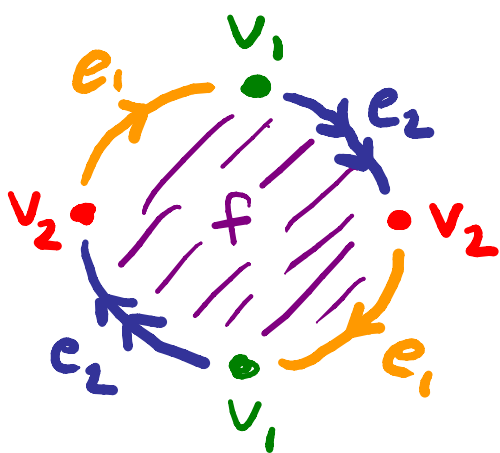


$$F(K')$$

"face poset"

$$(u \leq v \Leftrightarrow u \subseteq \bar{v})$$

$K' =$   
"IRP<sup>2</sup>"



Recall: A CW complex: cells  $e_\alpha \cong \mathbb{R}^{\dim(e_\alpha)}$ ,

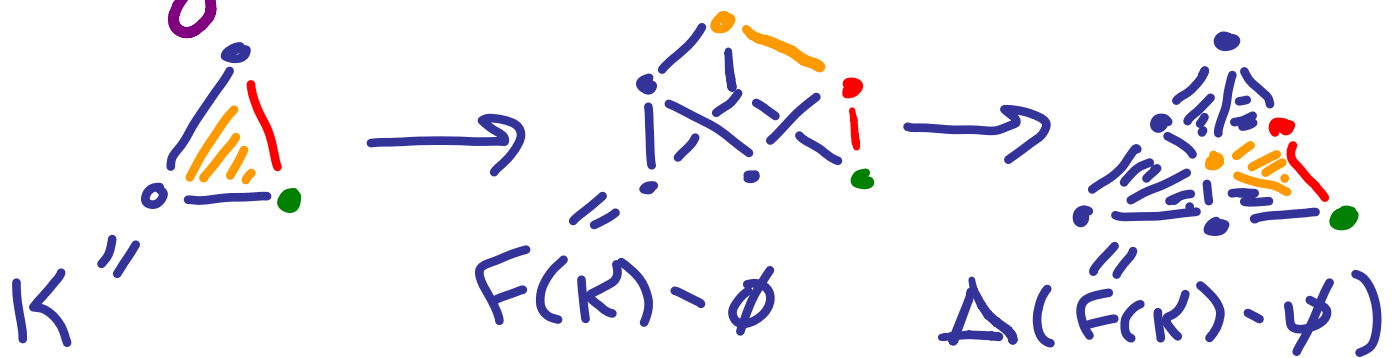
characteristic maps  $f_\alpha: B^{\dim(e_\alpha)} \rightarrow \cup_{\beta \in P} e_\beta$

$\neq$  attaching maps  $f_\alpha|_{\partial B^{\dim(e_\alpha)}}$

Recall: CW complex is **regular**

if each  $f_\alpha$  is homeomorphism.

- $\Delta(P)$  = "nerve" or "order complex" of  $P$
- $K$  **regular**  $\Rightarrow K \cong \Delta(F(K) \setminus \emptyset) = \text{sd}(K)$

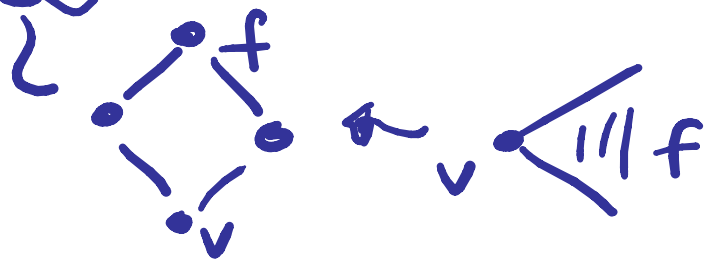
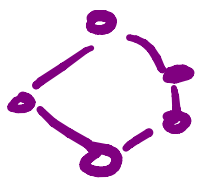


- a **CW poset** is any face poset of regular CW complex

- "Shellable" + "thin"  $\Rightarrow$  CW poset

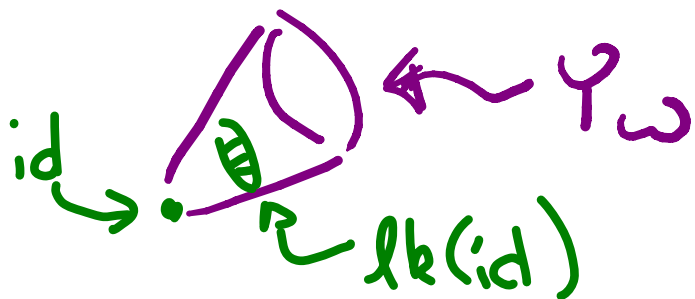
"Graded"

e.g. not



e.g. Bruhat order (Björner-Wachs; Matthew Dyer)

Fomin-Shapiro Conjecture: The Bruhat stratification of  $lk(id)$  in totally nonneg. real part of unipotent radical in Borel in algebraic group is regular CW complex homeomorphic to closed ball ( $\omega$ /Bruhat order as face poset)



$$Y_\omega = \left[ \overline{B^- \omega B^-} \cap (\text{unipotent subsp of } B) \right]_{\geq 0}$$

lower triangular opposite Borel  $B^-$

permutation  $\omega$

totally nonneg. part

upper triang. w/ 1's on diagonal

Theorem (H., 2014, Invent.):

Fomin-Shapiro Conjecture holds.

Special Case (Running example):

Space of totally nonneg. upper triang. matrices with 1's on diag. s.t. superdiagonal sums to  $K > 0$

Concrete Realization: Products

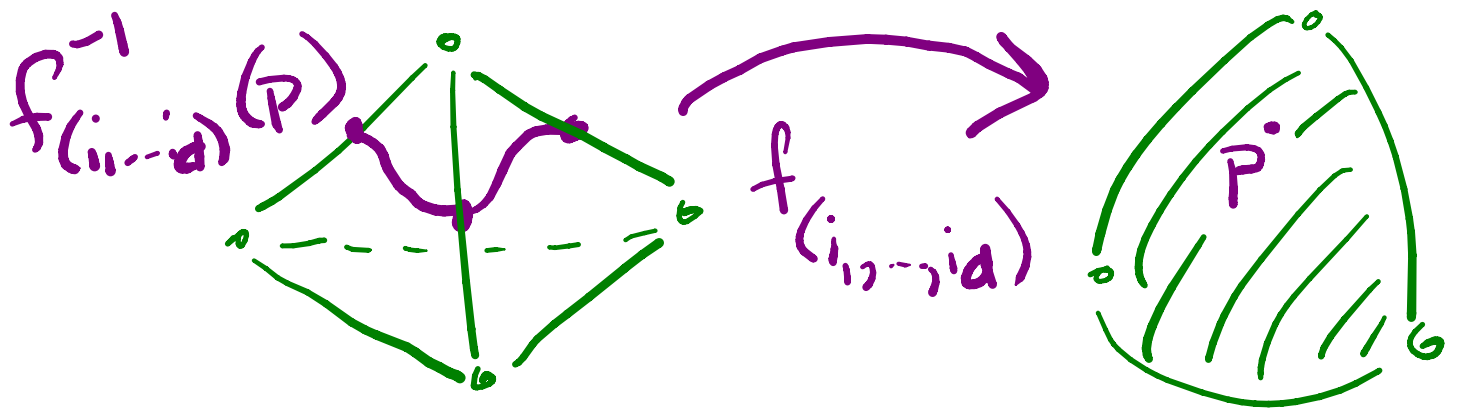
$x_{ij}(t_1) \dots x_{ij}(t_d)$  of elementary matrices, by results of Whitney, Loewner & (generalizing beyond type A) Lusztig.

Conjecture (Davis-H-Miller):

$f_{(i_1, \dots, i_d)}^{-1}(p)$  is regular CW complex

homeomorphic to interior dual  
block complex of subword

complex  $\Delta((i_1, \dots, i_d), \omega)$  for  $p \in \mathcal{Y}_\omega^0$ .

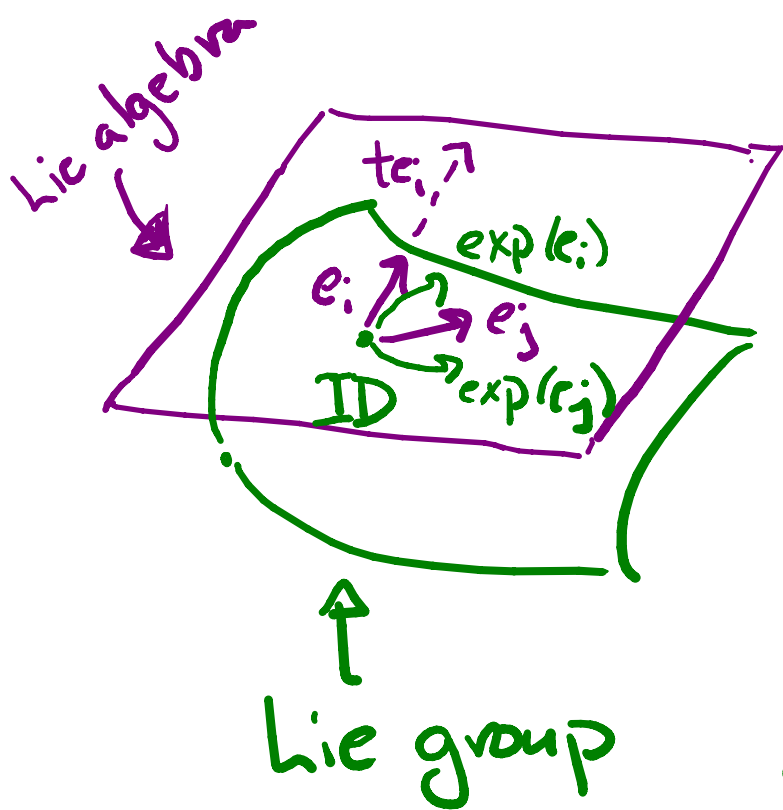


Thm (DHM):  $f_{(i_1, \dots, i_d)}^{-1}(p)$  has cell  
decomposition & "correct" face poset.

Thm (DHM): Interior dual block  
complex of  $\Delta((i_1, \dots, i_d), \omega)$  is contractible.



# A Motivation to Study Fibers: Relations Among (Exponentiated) Chevalley Generators



$$te_i = \begin{pmatrix} 0 & t & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

}  $\exp(-)$

$$\begin{pmatrix} 1 & t \\ & 1 \end{pmatrix}$$

$$\exp(t_1 e_i) \exp(t_2 e_j)$$

$$f_{(i,j)}(t_1, t_2) = x_i(t_1) x_j(t_2)$$

$$\exp(te_i) = \boxed{\text{ID} + te_i} + t^2 \frac{e_i^2}{2} + t^3 \frac{e_i^3}{6} + \dots$$

$0 = \frac{1}{2}$       $0 = \frac{1}{6}$

Rel'n's  $\Leftrightarrow$  Elts in same fiber of  $f_{(i,j)}$

FS-Conj. Proof, 1st Idea: Describe Strata via O-Hecke Algebra

$$(1) x_i(t_1)x_i(t_2) = x_i(t_1+t_2)$$

↯ suppress parameters

$$x_i x_i = x_i$$

$$(2) x_i(t_1)x_{i+1}(t_2)x_i(t_3) = x_{i+1}\left(\frac{t_2 t_3}{t_1+t_3}\right)x_i(t_1+t_3)x_{i+1}\left(\frac{t_1 t_2}{t_1+t_3}\right)$$

↯ (type A)

for  $t_1, t_2, t_3 > 0$

$$x_i x_{i+1} x_i = x_{i+1} x_i x_{i+1}$$

( $\neq$  analogous relations outside type A)

Upshot:  $\text{im}(F_1) = \text{im}(F_2) \Leftrightarrow \underbrace{x(F_1) = x(F_2)}$

equal as O-Hecke algebra elements

Thm (Lusztig): If  $(i, \text{id})$  is reduced, then  $f_{(i, \text{id})}$  is homeomorphism on  $\mathbb{R}_{>0}^d$

# More Motivation for Nonnegative Real Part of Unipotent Radical

$f_{(i_1, \dots, i_d)}^{-1}(p) \rightarrow f_{(j_1, \dots, j_d)}^{-1}(p)$  coordinate change

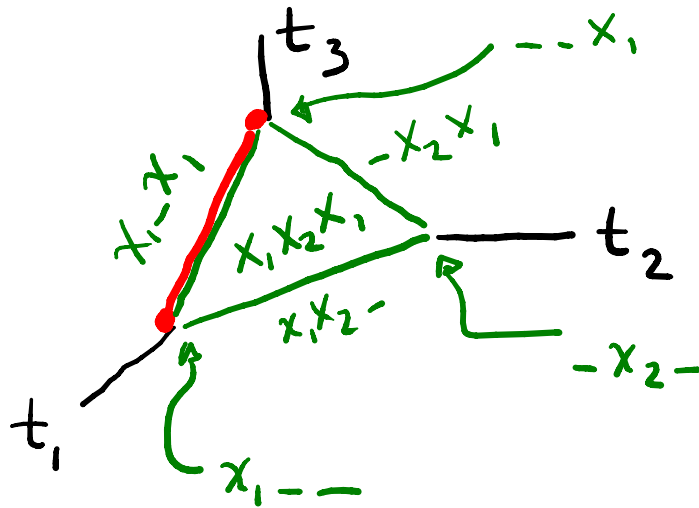
$$(t_1, t_2, t_3) \mapsto \left( \frac{t_1 t_2}{t_1 + t_2}, t_1 + t_2, \frac{t_1 t_2}{t_1 + t_2} \right)$$

tropicalizes to coordinate change:

$$(a, b, c) \mapsto (b + c - \min(a, c), \min(a, c), a + b - \min(a, c))$$

for canonical bases w/ same braid move

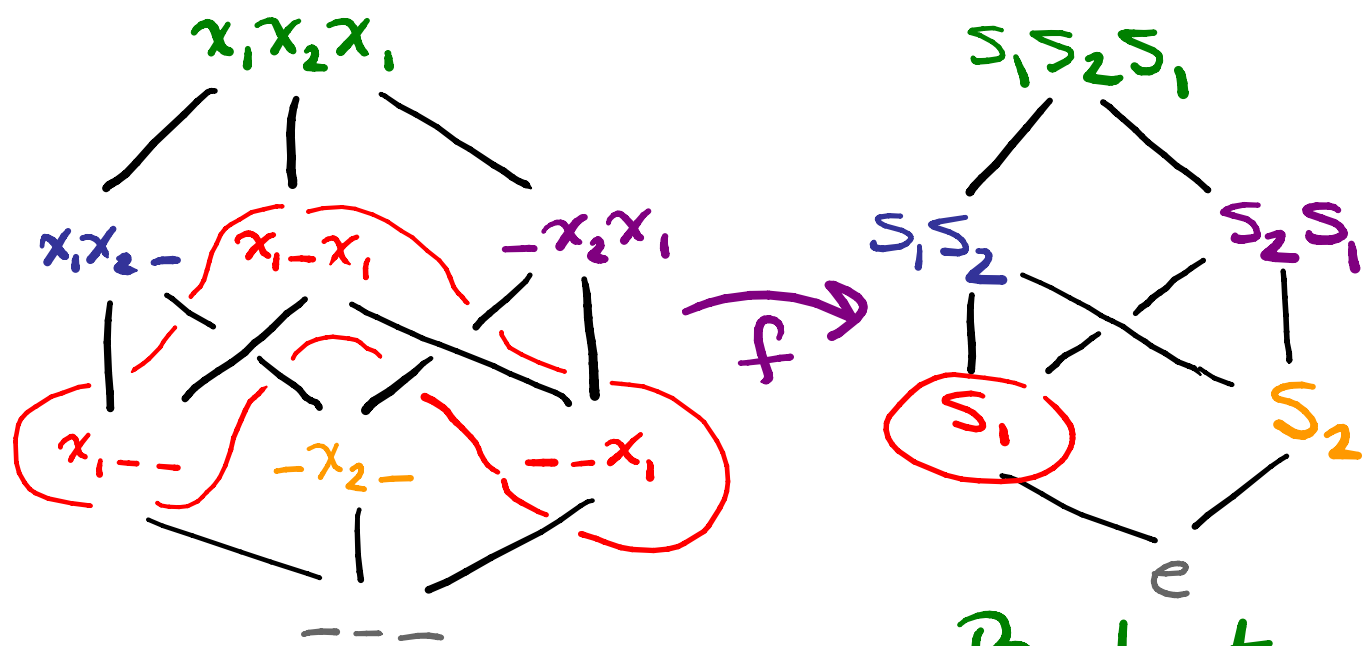
# Faces of (Preimage) Simplex as Subexpressions in O-Hedke Algebra



- let  $Y_w^o$  = open cell in  $\text{im}(f_{(i_1 \dots i_d)})$  indexed by  $w \in W$
- let  $\delta(x_{i_1} \dots x_{i_d})$  denote (unsigned) O-Hedke algebra product (a.k.a. "Demazure product")

e.g.  $\delta(x_1 x_2 x_1 x_2 x_1) = \delta(x_2 x_1 x_2 x_1) = s_1 s_2 s_1$   
 $\underbrace{x_1 x_2 x_1}_{x_2 x_1 x_2} \quad \uparrow \text{ since } x_2 x_2 = x_2$

# Map of Face Posets Induced by Map $f$ (lim-id) of Spaces



Boolean lattice  $B_n$

Bruhat order

Face poset of simplex  $F(\text{lim}(f_{(i,j)}))$

$$f: x_{i,j_1} \dots x_{i,j_r} \longmapsto \delta(x_{i,j_1} \dots x_{i,j_r})$$

# Proof of Fomin-Shepiro Conjecture (H., 2014, Invent.)

Set-up: Surjective fn  $f_{(i_1, \dots, i_d)}: \Delta_{d-1} \rightarrow Y$   
s.t.  $f_{(i_1, \dots, i_d)}|_{\text{int}(\Delta_{d-1})}$  homeom. to  $\text{int}(Y)$ .

Step 1: Perform "cell collapses" on  $\partial(\Delta_{d-1})$   
designed to preserve homeom. type & regularity  
yielding  $(\Delta_{d-1})/\sim$  s.t.

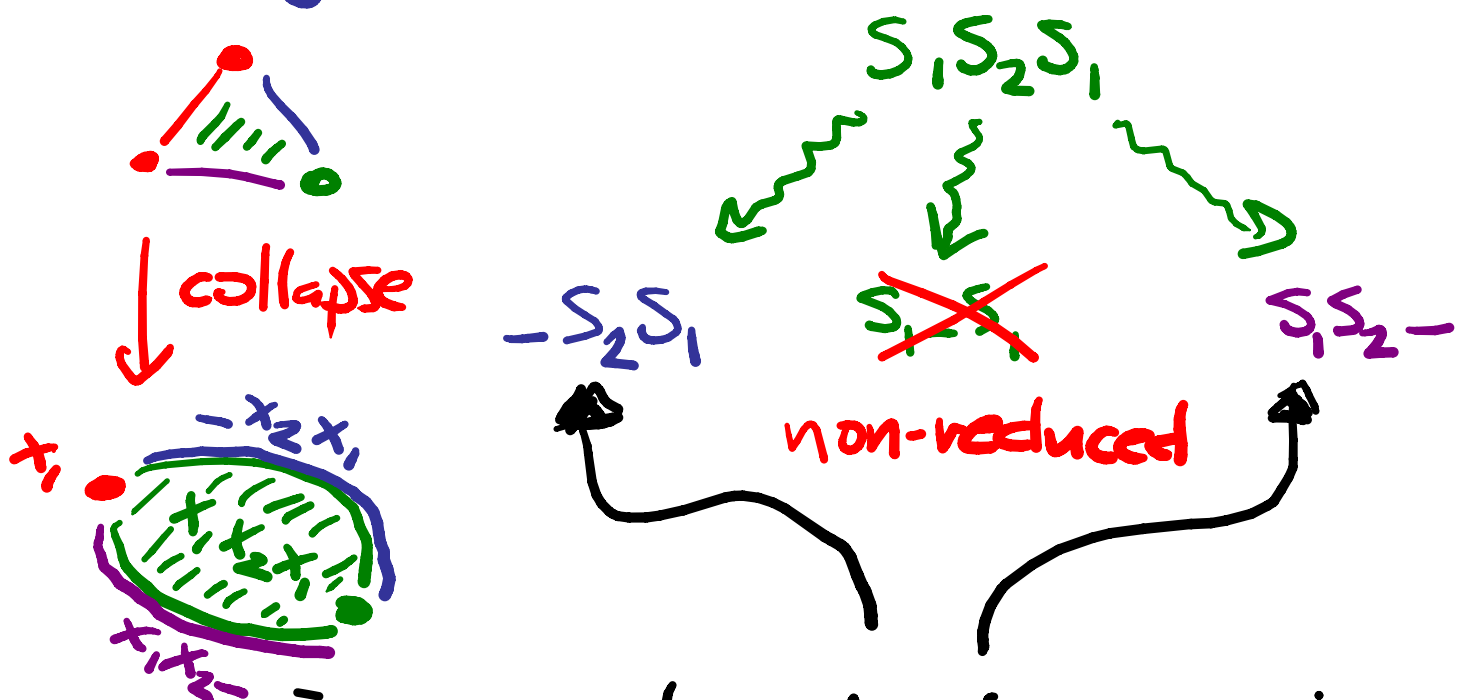
$$x_1 \sim x_2 \Rightarrow f_{(i_1, \dots, i_d)}(x_1) = f_{(i_1, \dots, i_d)}(x_2)$$

(eliminating faces given by nonreduced words)

Step 2: Prove  $\bar{f}_{(i_1, \dots, i_d)}: (\Delta_{d-1})/\sim \rightarrow Y$  is a  
homeomorphism via **new regularity  
criterion** for finite CW complexes.

Corollary of Proof: Contractibility of fibers

# Codimension One Injectivity of Attaching Maps via Exchange Axiom for Coxeter Groups



• reduced subexpressions of reduced expression by deleting one letter give distinct  $u \in W$

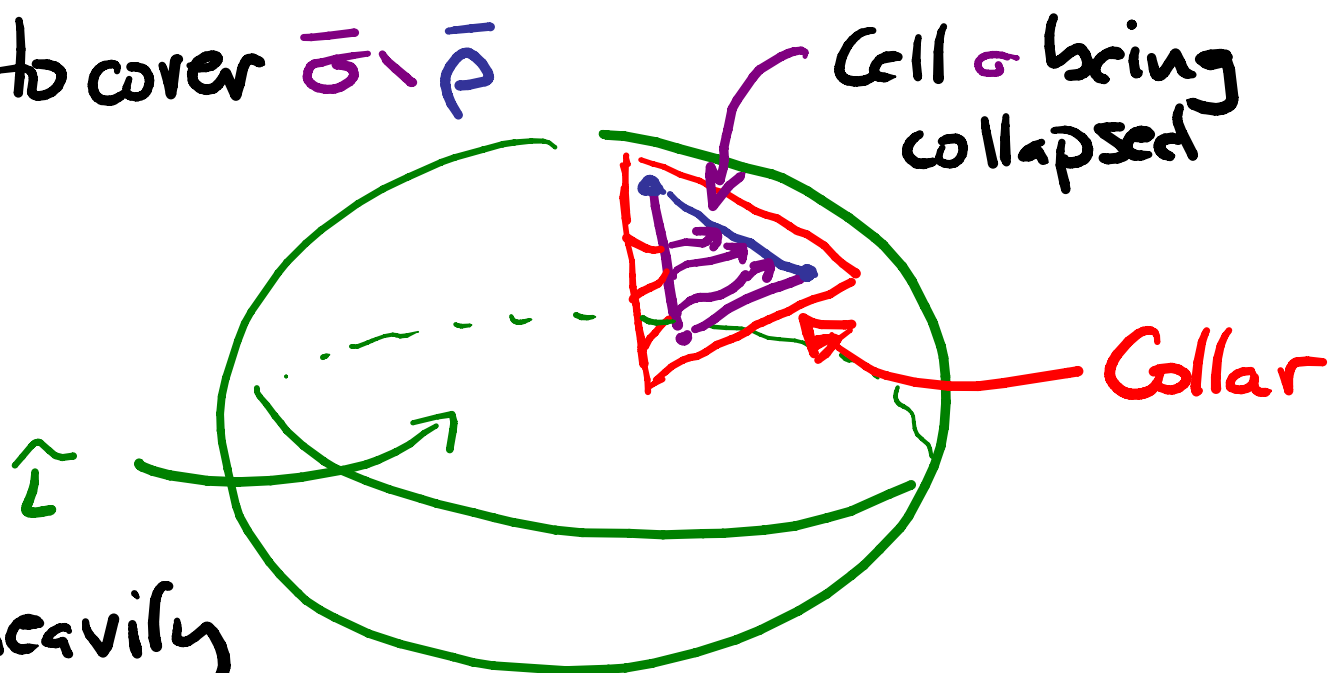
• Need codim one! e.g.  $s_1s_2s_1$

$\swarrow$                        $\searrow$   
 $s_1--$                        $--s_1$

# Step 1: Cell Collapses Preserving Homeom. Type, Regularity $\neq$ Topol. Manifold

- Cover each non-reduced  $\sigma$  with "nice" curves each in single fiber of  $f_{(i, -id)}$
- Collapse  $\bar{\sigma}$  onto  $\bar{\rho} \subseteq \partial\sigma$  by stretching curve extensions in "collar" for  $\partial\sigma \setminus \bar{\rho}$

to cover  $\bar{\sigma} \setminus \bar{\rho}$



heavily


uses combinatorics of reduced  $\neq$  nonreduced words in O-Hecke algebra



## Step 2 via: New Regularity Criterion

Preparatory Lemma (H.): Let  $K$  be a finite CW complex w/ characteristic maps  $\{f_\alpha\}$ . Suppose:

(1)  $\forall \alpha, f_\alpha(\partial B^{\dim \alpha})$  is a union of open cells (surjectivity)

Non-Example: 

(2)  $\forall f_\alpha$ , the preimages of the open cells of codim. one in  $\bar{e}_\alpha$  are dense in  $\partial(B^{\dim \alpha})$

Non-Example: 

Then  $F(K)$  is graded by cell dimension.

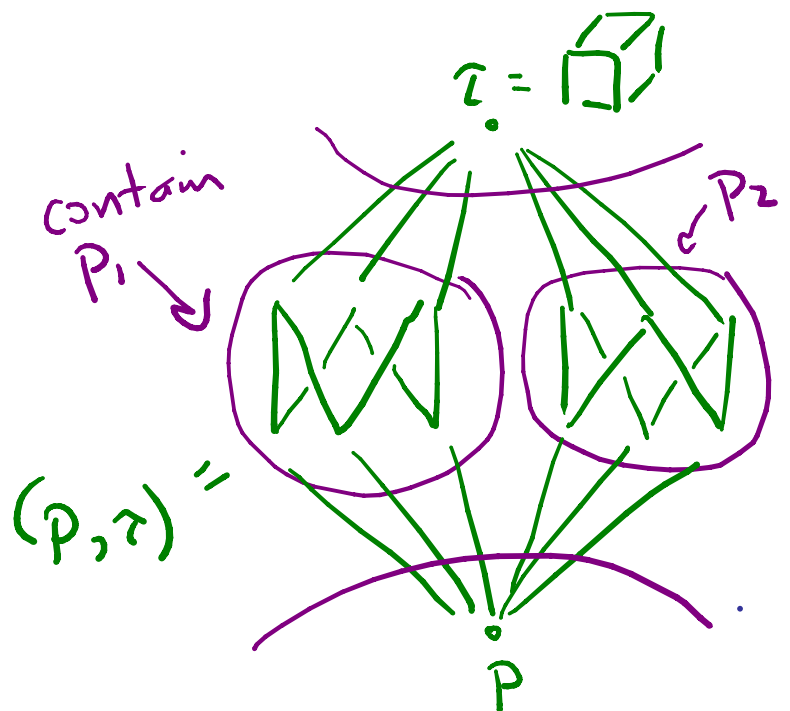
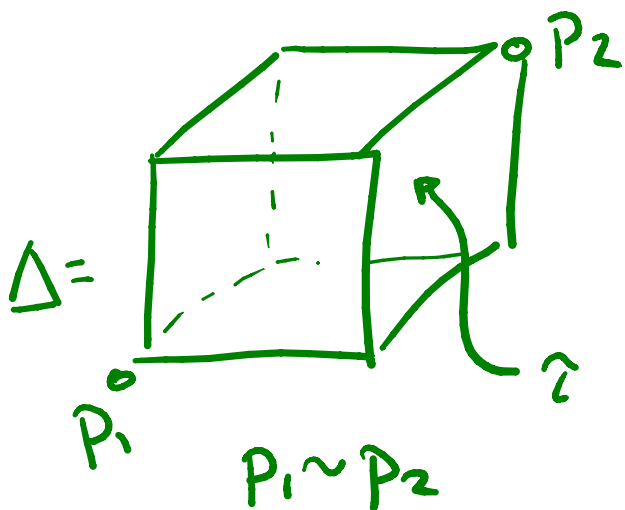
Thm (H.) Let  $K$  be finite CW complex w.r.t. characteristic maps  $\{f_\alpha\}$ . Then  $K$  is regular w.r.t.  $\{f_\alpha\} \iff$

(1)  $K$  meets requirements of prop'n for  $F(K)$  to be graded by cell dim.

(2)  $F(K)$  is thin and each open interval  $(u, v)$  for  $\dim(v) - \dim(u) > 2$  is connected (as graph)

(combinatorial condition)

### Non-Example



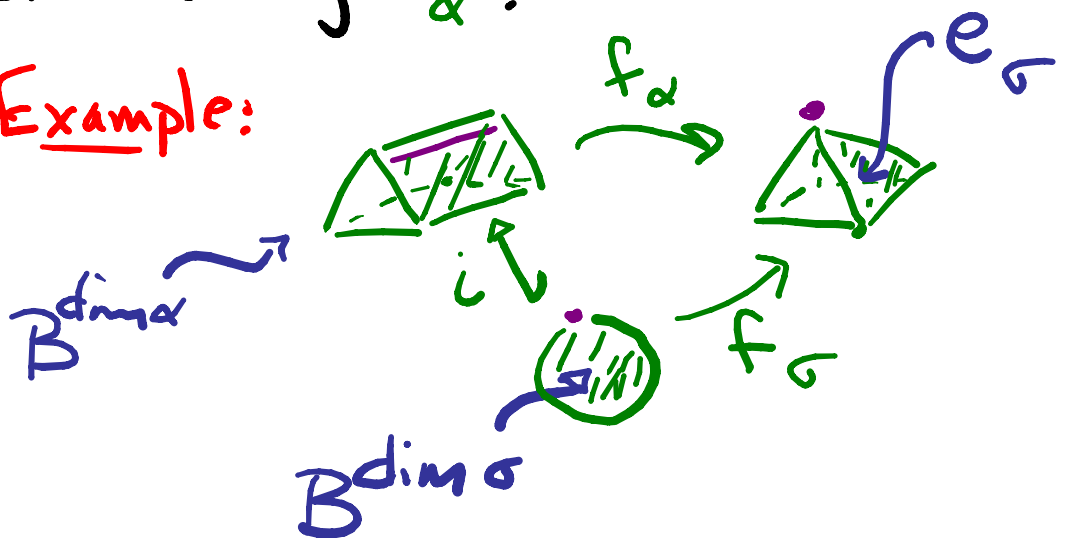
(3) For each  $\alpha$ , the restriction of  $f_\alpha$  to preimages of codim. one cells in  $\bar{e}_\alpha$  is injective.  
 (topological condition)

Non-Example:



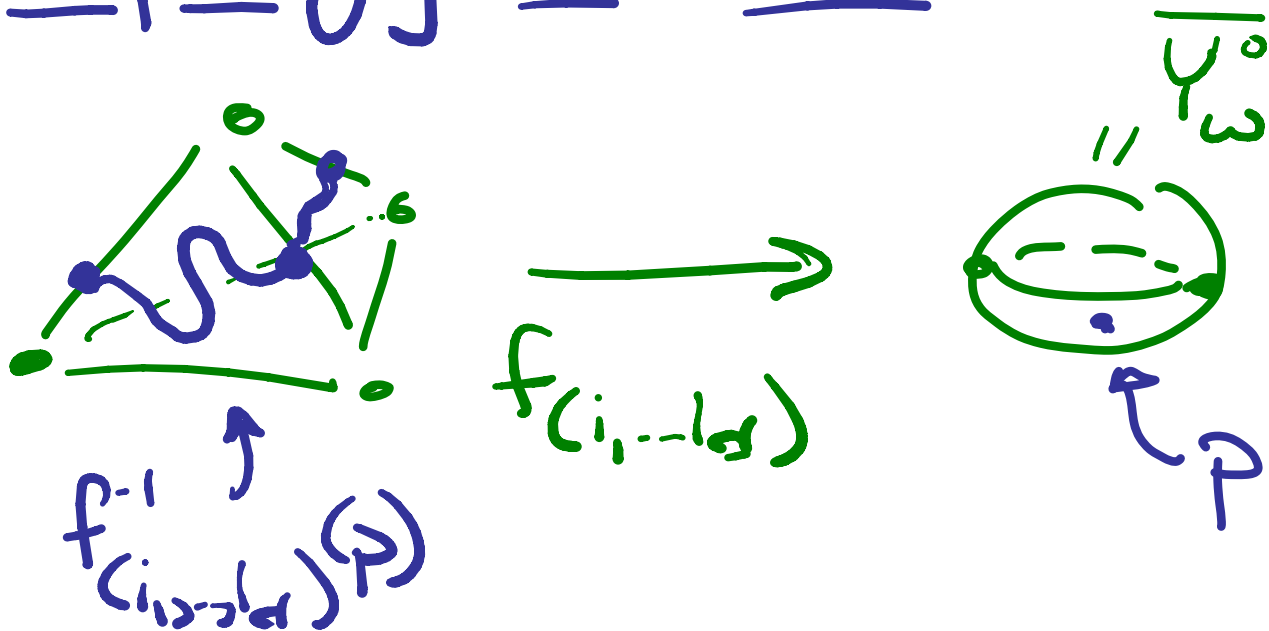
(4)  $\forall e_\sigma \subseteq \bar{e}_\alpha$ ,  $f_\sigma$  factors as continuous inclusion  $i: B^{\dim \sigma} \rightarrow B^{\dim \alpha}$  followed by  $f_\alpha$ .

Non-Example:



Proof: Induction on difference in dim.

# Topology of fibers



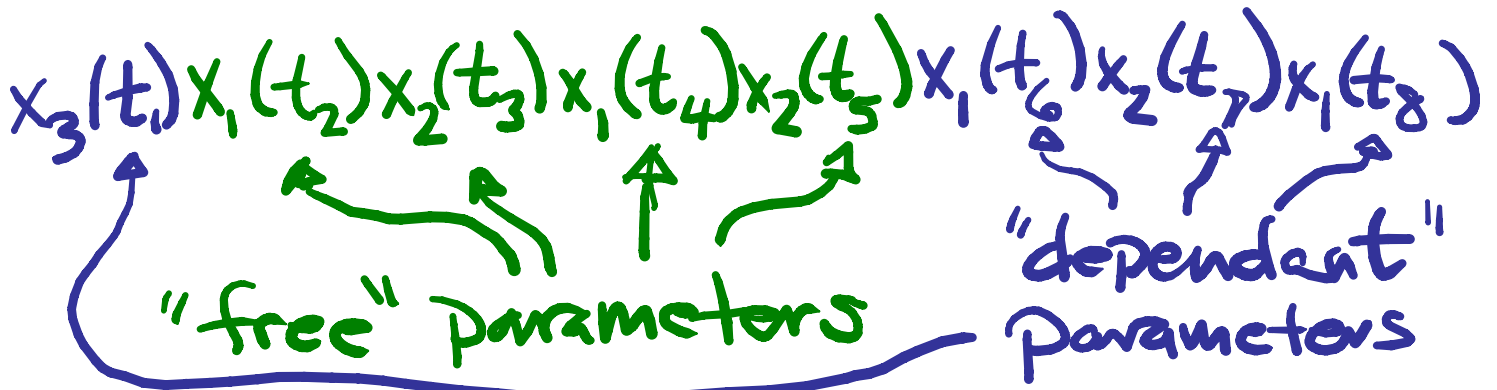
Thm (Davis-H-Miller): Each fiber  $f^{-1}(p)$  admits a cell decomposition induced by the natural cell decomposition of the simplex  $\Delta_{d-1}$ .

Proof: Parametrization + continuity lemmas

Parametrization: Given  $(i_1, \dots, i_d)$ ,  
 consider rightmost subword that  
 is reduced word for  $w = \delta(i_1, \dots, i_d)$

e.g.  $\delta(3, 1, 2, 1, 2, 1, 2, 1) = s_3 s_1 s_2 s_1$

Parametrize  $f_{(3, 1, 2, 1, 2, 1, 2, 1)}^{-1}(p)$   
 in  $V_{s_3 s_1 s_2 s_1}$



Thm (Davis-H-Miller):

$$[0, 1)^{\dim(\sigma)} \cong \bigcup_{v \in \bar{\tau} \subseteq \bar{\sigma}} \hat{\tau}$$

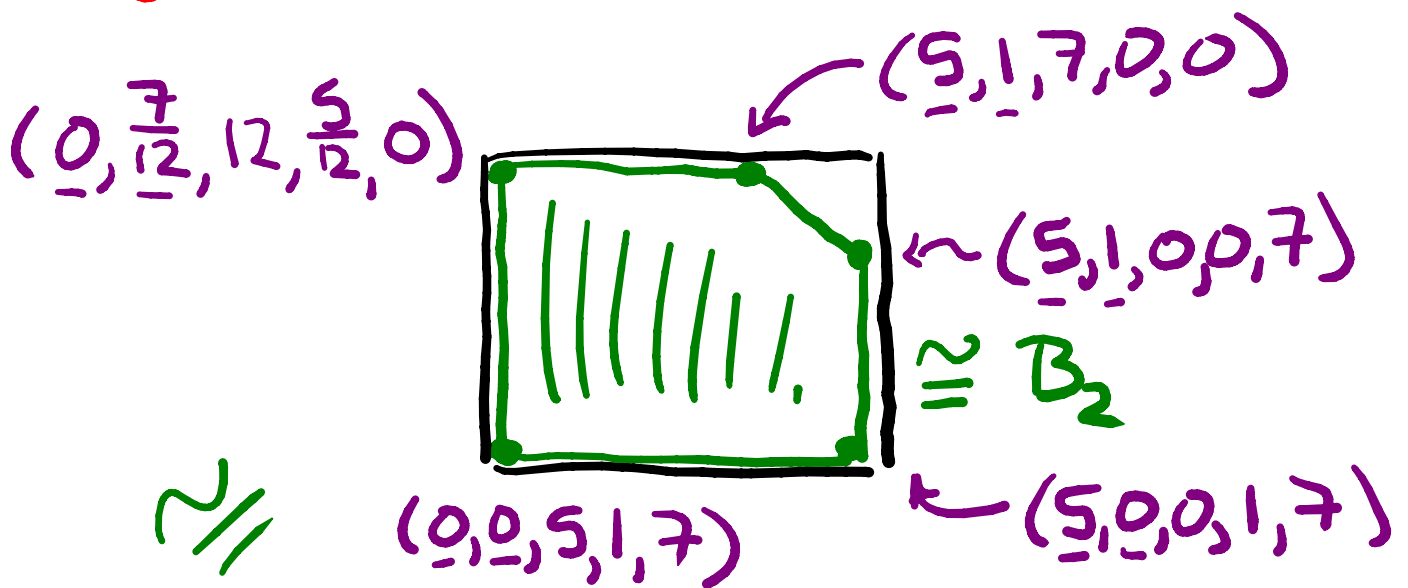
$\hat{\tau}$  source vertex of  $\bar{\sigma}$



# Examples of Fibers:

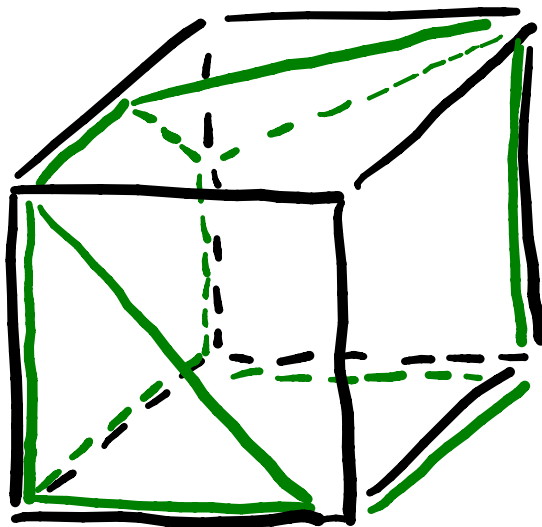
(with realizations as suggested by various results towards proof of DHM conjecture)

e.g.



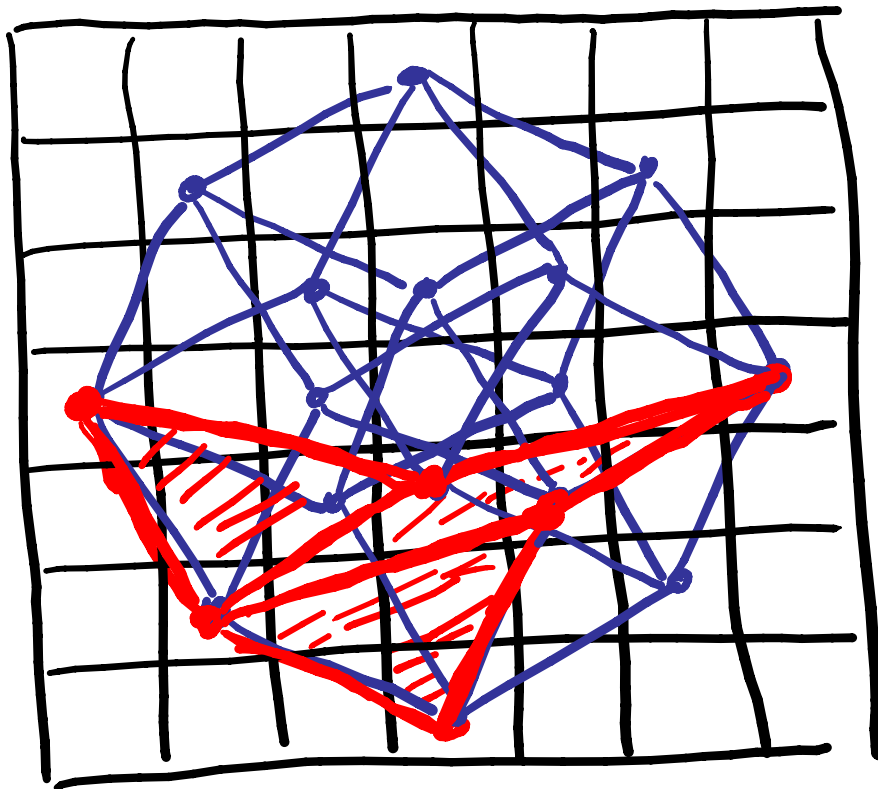
$f^{-1}(1, 2, 1, 2, 1)(M)$  for  $M = x_1(5)x_2(1)x_1(7)$   
 $\bigvee_0$   
 $15, 5_2, 5_1$

$\cong$



$\cong B_3$

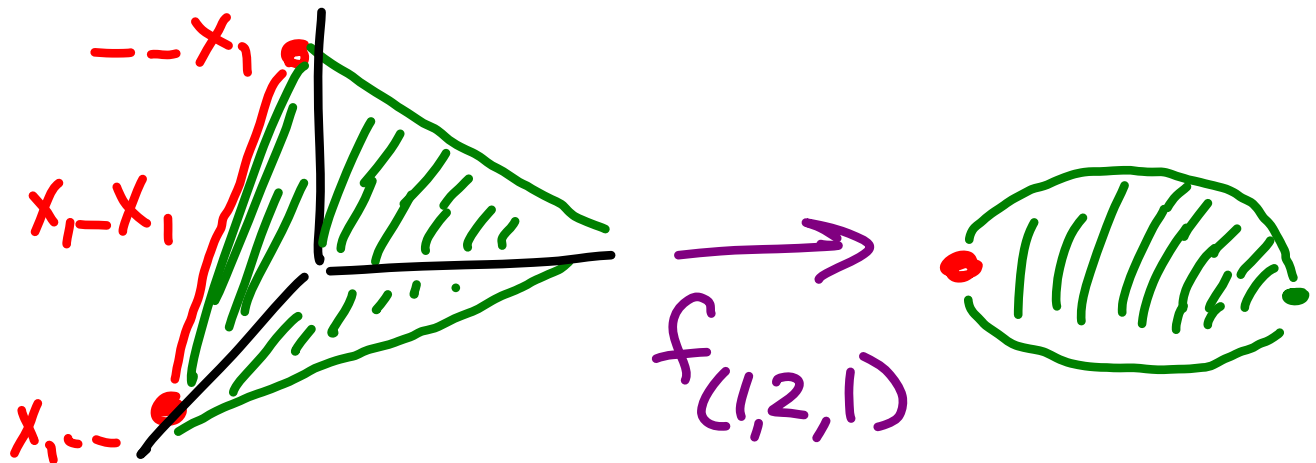
$f_{(1,2,1,2,1,2)}^{-1}(M)$  for  $M \in \mathcal{Y}_{S_1 S_2 S_1}^{\mathbb{Z}^2}$



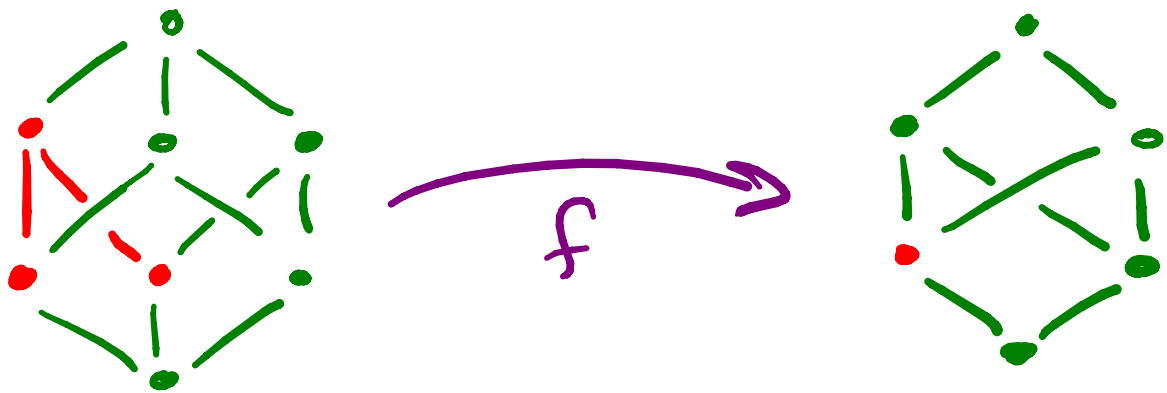
$\cong$

$f_{(1,2,1,2,1,2)}^{-1}(M)$  for  $M \in \mathcal{Y}_{(1,2)}^{\mathbb{Z}^2}$

# Combinatorics of fibers



Induced map of face posets:



Thm (Armstrong-H., 2011): For each  $\overline{u} \in \mathcal{W}$ ,  $f^{-1}_{\geq}(u) = \{x \in \mathcal{B}_n \mid f(x) \geq u\}$  is dual (i.e. upside-down) to face poset for subword complex  $\Delta((i_1, \dots, i_\ell), u)$ .



Thm (DHM, 2018):  $f_{\equiv}^{-1}(u)$  is face poset of interior dual block complex for subword complex  $\Delta((i_1 \dots i_d), u)$

Thm (DHM, 2018): Interior dual block complex of  $\Delta((i_1 \dots i_d), u)$  is collapsible, hence contractible.

Pf: Discrete Morse theory

Combining: DHM Conjecture would imply  $f_{(i_1 \dots i_d)}^{-1}(p) \cong$  interior dual block complex of  $\Delta((i_1 \dots i_d), u)$  for  $p \in Y_u^{\circ}$ , hence  $f_{(i_1 \dots i_d)}^{-1}(p)$  contractible.

# Subword Complexes

(introduced by Allen Knutson  
& Ezra Miller)

$\Delta(\underbrace{Q}_{\text{reduced or nonreduced word}}, \underbrace{w}_{\text{Coxeter group element}})$  = (abstract) simplicial complex of subwords  $Q'$  of  $Q$  s.t.  $Q \setminus Q'$  contains a reduced word for  $w$ .

## Aside:

1st arose as Stanley-Reisner complexes of initial ideals of coordinate rings of matrix Schubert varieties.

Thm (Knutson-Miller):  $\Delta(Q, \omega)$   
 is homeomorphic to ball or sphere.

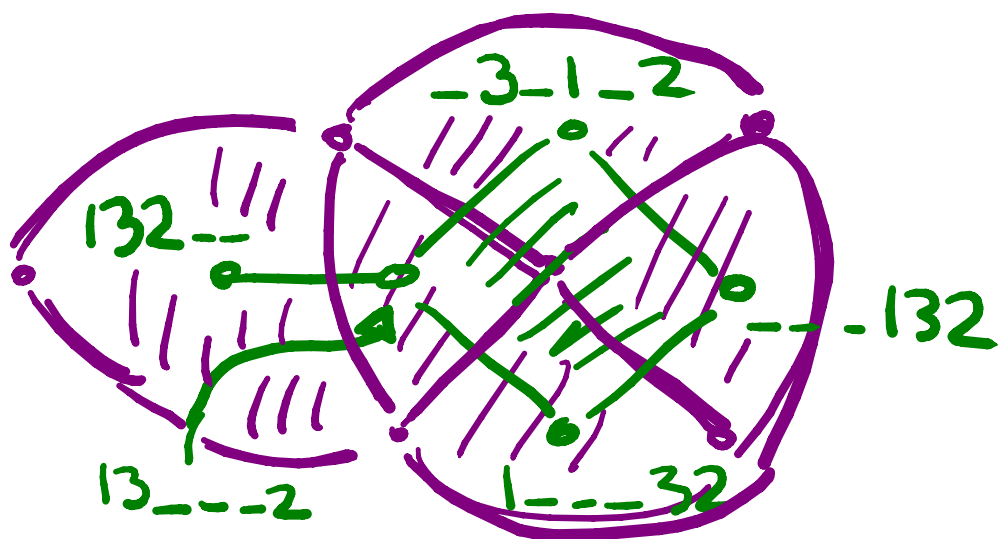
Example of Subword Complex &  
its Interior Dual Block Complex

$$\Delta(Q, \omega) =$$

$$Q = 132132$$

$$\omega = s_1 s_3 s_2$$

& its



Interior dual block complex

||

$$f^{-1}(1,3,2,1,3,2)(M) \text{ for } M \in Y_{(1,3,2)}^0$$

# Totally Nonnegative Spaces w/ Seemingly Analogous Structure

1. Totally nonnegative real part of  
Grassmannian:  $Gr_{\geq 0}(k, n) = (GL_n / P)_{\geq 0}$

Postnikov: polytope of "plabic graphs"  
w/ "measurement map" to  $Gr_{\geq 0}(k, n)$   
+ theory of (reduced) plabic graphs

Postnikov-Speyer-Williams:  $Gr_{\geq 0}(k, n)$   
is CW complex (via attaching maps  
that are not homeomorphisms)

Galashin-Karp-Lam 2017 preprint:  
 $Gr_{\geq 0}(k, n)$  is homeom. to closed ball  
(proof via curve shrinking as in [HK17])

2. Totally nonneg. real part of  
Flag variety:  $\widehat{Fl}_{\geq 0} = (GL/B)_{\geq 0}$

Rietsch: poset of closure rel's. Cells  
 $R_{u,v}^{\circ}$  given by  $u \leq v$  in Bruhat order

Marsh-Rietsch: parametrization for  $R_{u,v}^{\circ}$

Williams: poset is CW poset

Rietsch-Williams: (1) CW complex w/  
attaching maps via canonical bases.  
(2) Contractibility of each cell closure

Gekhtin-Karp-Lam: homeomorphism type  
for closure of "big cell".

# 3. Stratified Spaces $E_n$ of Electrical Networks

(Curtis-Ingerman-Morrow, Kenyon-Wilson, ...)

- arises as image of:



Law:

- $E_n \hookrightarrow Gr_{\geq 0}(n-1, 2n) \overset{\sim}{\chi}(u, v)$
- $F(E_n)$  is "Eulerian", i.e.  $M(u, v) = (-1)^{rk(v) - rk(u)}$

H-Kenyon:

- $F(E_n)$  shelling  $\dagger$   $F(E_n)$  is a CW poset (i.e. face poset of regular CW complex)

H-K Cor: shelling for each  $[u, v]$  in face poset for edge-product space of phylogenetic trees, implying that is a CW poset.

Galashin-Karp-Lam:

- Homeomorphism type for closure of "big cell" for graph analogue of  $\omega_0$ , "well-connected graphs", via special structure of graphical  $\omega_0$ .
- recently announced in talks for other cells as well.

# Some Further Questions

1. Subword complex analogues for fibers of other maps?

e.g. H-Kenyon:  $\Delta(G, \text{elec}(H))$

for planar electrical networks (for  $H$  a minor of  $G$ )



2. Fiber Structure for:

electrical networks,  $(GL_n)_{\geq 0}$ ,  
 $(\hat{F}L_n)_{\geq 0}$ ,  $(GL/P)_{\geq 0}, \dots$ ?



### 3. Generalization of

Berenshtein-Fomin-Zelevinsky

inverse map for positive part to:  
nonreduced word w/ unknown  
parameters as reduced subword

e.g.

$$x_1(t_1)x_2(t_3)x_1(5)x_2(7)x_1(t_3)=M$$

Q<sub>n</sub>: Sol'n existence  $\Rightarrow$  uniqueness?

### 4. Proof of DHM Conjecture?

Thanks!