

Regular Cell Complexes in Total Positivity

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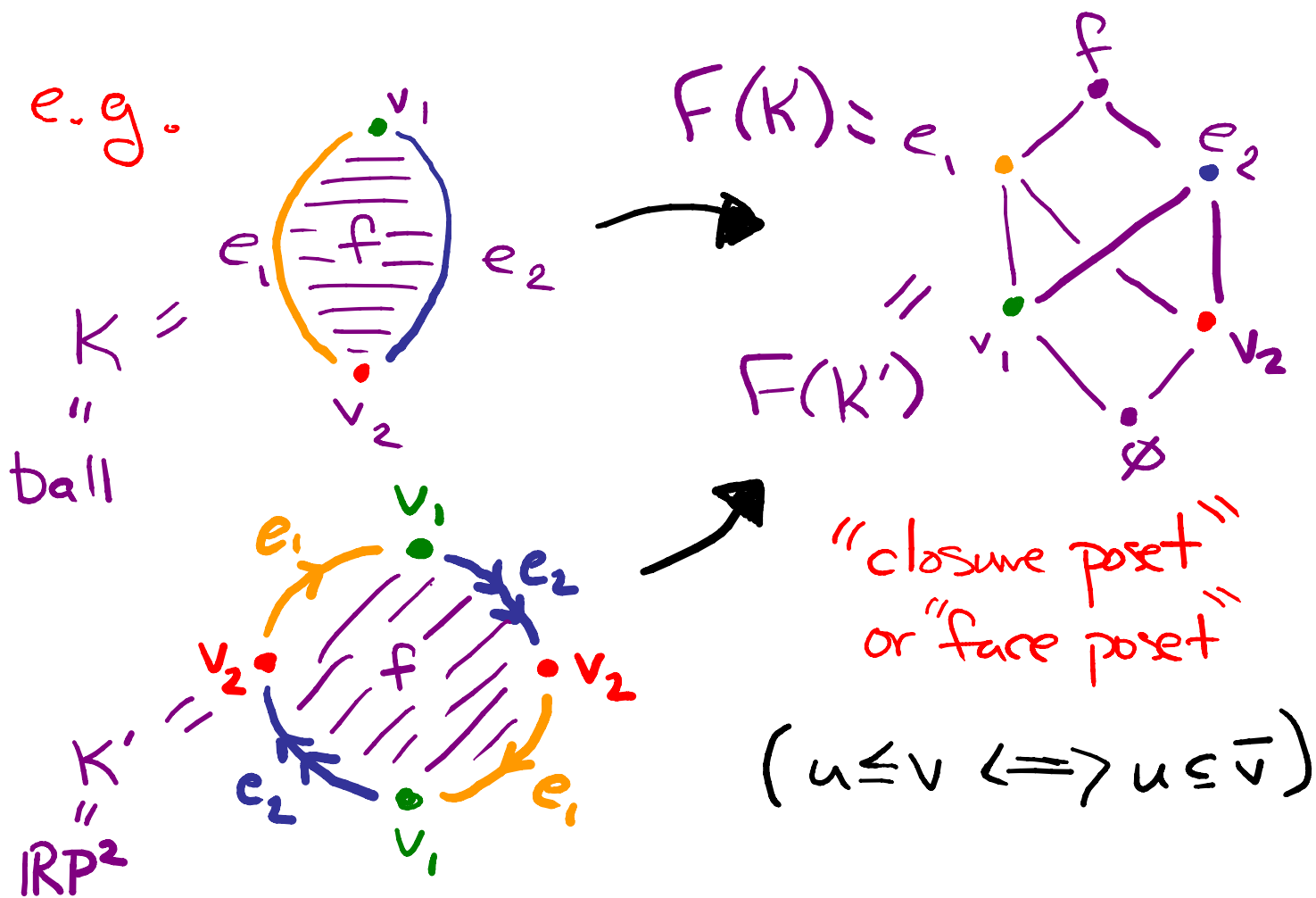
(Paper with these results by same title, to appear in *Inventiones Mathematicae*, 60 pages)

(See <http://www4.ncsu.edu/~p1hersh> for slides, including appendix with more details)

Topological Aspects of Total Positivity

- ◆ Lusztig initiated study of **Totally nonnegative, real part of (matrix) Schubert varieties**
(i.e. part with minors all nonnegative in spaces of matrices or of flags)
- ◆ Topology (homeomorphism type) is conjecturally/provably trivial.
- ◆ Puts restrictions on possible **relations among (exponentiated) Chevalley generators**.
- ◆ Reveals structure in canonical bases; a motivation for cluster algebras
- ◆ Main Result of Talk: Proof of Fomin-Shapiro Conjecture via new tools exploiting interplay of combinatorial data & topological data

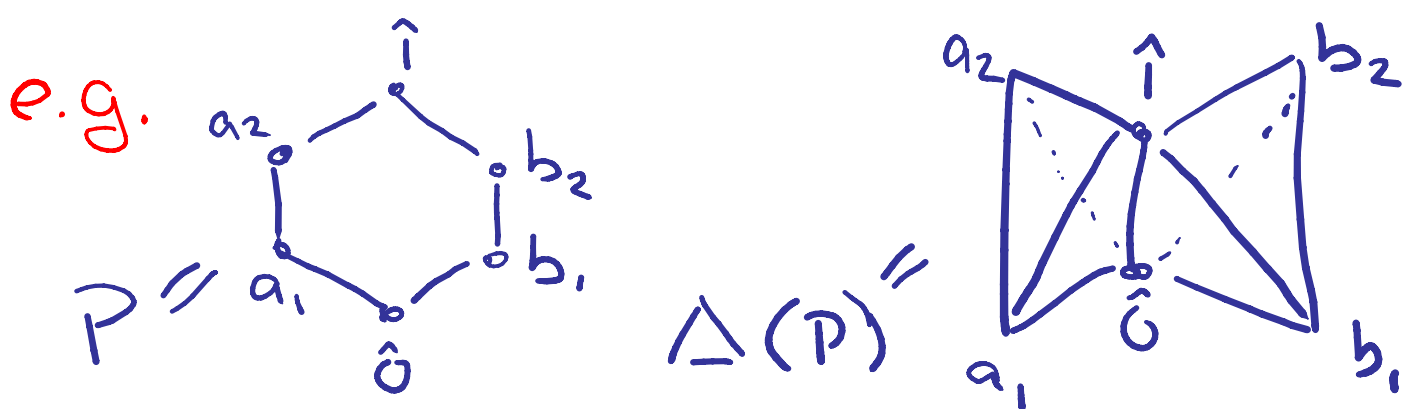
Background: CW Complexes and their Closure Posets



CW complexes: comprised of pieces called cells each homeomorphic to an open ball

- higher dimensional cells glued to unions of lower dimensional ones by attaching maps.

Def'n: The **order complex** (or **nerve**) of a poset P is the simplicial complex $\Delta(P)$ whose i -dimensional faces are the $(i+1)$ -chains $v_0 < \dots < v_i$ in P



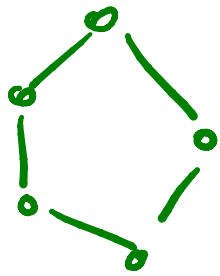
Remark: Studied extensively e.g. in group theory (posets of subgroups), combinatorics (Möbius fn \neq group actions on posets), commutative algebra (small resolutions), etc.

Regular CW Complexes

- A CW complex is **regular** if the attaching map for each cell is a homeomorphism (hence injective).
e.g. all simplicial complexes & polytopes
- K regular $\Rightarrow K \cong \Delta(F(K) - \{0\}) = \text{sd}K$
- Seemingly encompasses several spaces of interest from combinatorial rep'n theory, real algebraic geometry, total positivity theory, electrical networks, ...

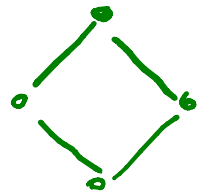
Recall: Poset is **graded** if $u \leq v$ implies minimal paths u to v all same length

e.g.



is not graded

A graded poset is **thin** if each rank 2 interval has 4 elements



Defn (Björner): A finite, graded poset P is **CW poset** if

- P has unique min'l elt. $\hat{0}$
- P has additional element(s)
- $x \neq \hat{0} \Rightarrow \Delta(\hat{0}, x) \cong S^{rk x - 2}$

Thm (Björner): P is CW poset \Leftrightarrow
there exists regular CW complex
 K with $P = F(K)$.

Some Examples of CW Posets

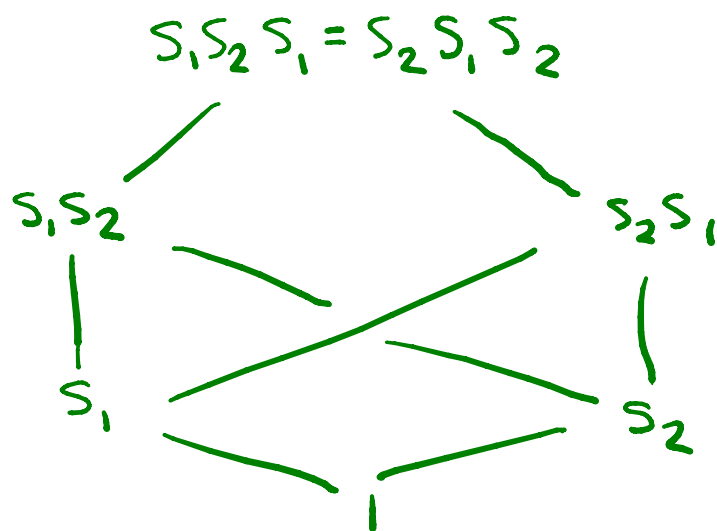
- Shellable \neq thin (Danveř-Klee)
- Bruhat order (Björner \neq Wachs)
- Closure poset for double Bruhat decomp. of totally nonneg. part of flag variety (Williams)
- Closure poset of triangulation of double suspension of Poincaré homology 3-sphere with "big cell" glued in (due to work of R. Edwards)

The **Bruhat order** is partial order on Coxeter group W with $u \leq v \iff$ there exists **reduced expressions** (i.e. products of minimal # adjacent transpositions) $r(u)$ and $r(v)$ with $r(u)$ subexpression of $r(v)$.

e.g. $W = S_3$ with generators

$$s_1 = (1, 2)$$

$$s_2 = (2, 3)$$



- Closure poset for Schubert cell decompositions of flag varieties G/B & totally nonnegative part of matrix Schubert varieties

Question (Bernstein): Find regular CW complexes naturally arising from rep'n theory which are homeomorphic to closed balls and have the Bruhat intervals as closure posets.

Conjectural Solution (Sergey Fomin & Michael Shapiro, 2000):

The Bruhat stratification of $\mathbb{R}^n(\text{id})$ in totally nonnegative, real part of unipotent radical in semisimple, simply connected algebraic group is regular CW complex homeomorphic to closed ball.

Theorem (H.): Fomin-Shapiro
Conjecture indeed holds.

Special Case of Type A:

Space of Totally nonnegative
upper triangular matrices with
1's on diagonal & entries just
above diagonal summing to fixed,
positive constant, stratified by
which minors are positive and which
are 0.

The Totally Nonnegative Part of a Space of Matrices

$\bullet \chi_i(t) = I_n + t E_{i,i+1} = \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & \ddots & \\ & & & 1+t \\ & & & & \ddots \\ & & & & & 1 \end{pmatrix}$

" $\exp(te_i)$ (general type) \uparrow (type A) \uparrow column $i+1$ \leftarrow row i

$\bullet f_{(i_1, \dots, i_d)}: \mathbb{R}_{\geq 0}^d \longrightarrow M_{n \times n} \subseteq \mathbb{R}^{n^2}$

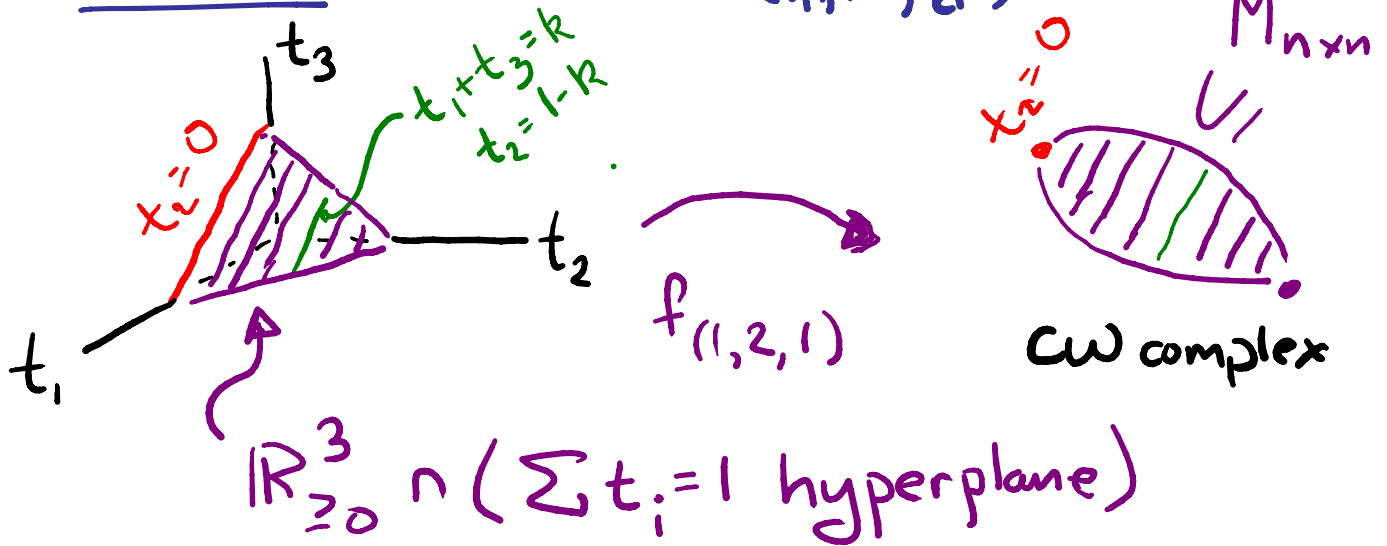
$(t_1, \dots, t_d) \longmapsto \chi_{i_1}(t_1) \cdots \chi_{i_d}(t_d)$

e.g. $f_{(1,2,1)}(t_1, t_2, t_3) = \chi_1(t_1) \chi_2(t_2) \chi_1(t_3)$

$$= \begin{pmatrix} 1 & t_1 & \\ & 1 & \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & t_2 & \\ & 1 & \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & t_3 & \\ & 1 & \\ & & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & t_1+t_3 & t_1 t_2 \\ 0 & 1 & t_2 \\ 0 & 0 & 1 \end{pmatrix}$$

"Picture" of Map $f_{(1,2,1)}$



$$f_{(1,2,1)}(t_1, t_2, t_3) = \begin{pmatrix} 1 & t_1 \\ & 1 \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & & \\ & 1 & t_2 \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & t_3 \\ & 1 \\ & & 1 \end{pmatrix}$$

$t_2 = 0$

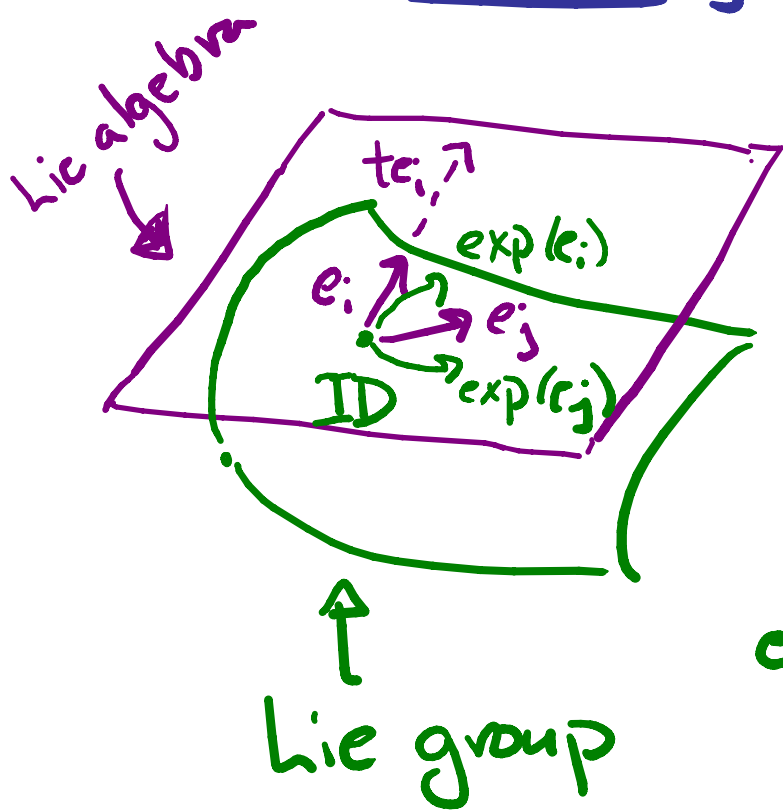
$$x_i(t_1) = x_i(t_3)$$

$$\begin{aligned} f_{(1,2,1)}(t_1, 0, t_3) &= \begin{pmatrix} 1 & t_1 \\ & 1 \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & t_3 \\ & 1 \\ & & 1 \end{pmatrix} \\ &= \begin{pmatrix} 1 & t_1 + t_3 \\ & 1 \\ & & 1 \end{pmatrix} = x_i(t_1 + t_3) \end{aligned}$$

Non-injectivity: results from "nil-moves"

$x_i(u)x_i(v) = x_i(u+v) \neq$ "long braid moves" in OHecke algebra

A Motivation: Understanding Relations Among (Exponentiated) Chevalley Generators



$$f_{(i,j)}(t_1, t_2)$$

$$\parallel$$

$$\exp(t_1 e_i) \exp(t_2 e_j)$$

$$\parallel$$

$$x_i(t_1) x_j(t_2)$$

$$\exp(t e_i) = \boxed{\text{ID} + t e_i} + t^2 e_i^2 + t^3 \frac{e_i^3}{6} + \dots$$

$0 = \frac{2}{2}$ $0 = \frac{3}{6}$

We Prove: Only the "obvious" relations occur

0-Hecke Algebra Captures which Simplex Faces have Same Image under $f_{(i_1, \dots, i_d)}$

$$(1) x_i(t_1)x_i(t_2) = x_i(t_1+t_2) \quad \text{"nil-move"}$$

↓ suppress parameters

$$x_i^2 = x_i \quad (\text{0-Hecke alg. rel'n, up to sign})$$

$$(2) x_i(t_1)x_{i+1}(t_2)x_i(t_3) = x_{i+1}\left(\frac{t_2+t_3}{t_1+t_3}\right)x_i(t_1+t_3)x_{i+1}\left(\frac{t_1+t_2}{t_1+t_3}\right)$$

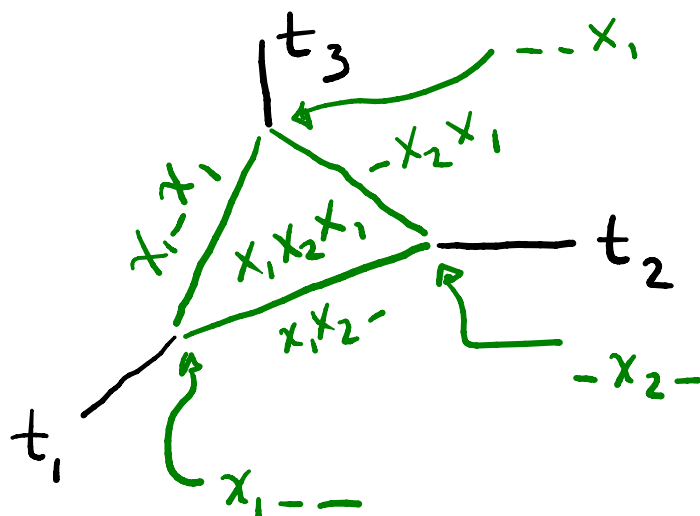
↓ (type A)

$$x_i x_{i+1} x_i = x_{i+1} x_i x_{i+1}$$

(similar relation holds outside type A)

"long braid move"
with enrichment
from parameters

Indexing Faces of Preimage by Words in \mathcal{O} -Hecke Algebra



Key Observation About $f_{(i_1, \dots, i_d)}$:

$$\text{im}(F_1) = \text{im}(F_2) \Leftrightarrow \underbrace{x(F_1) = x(F_2)}_{\text{equal as } \mathcal{O}\text{-Hecke algebra elements}}$$

equal as \mathcal{O} -Hecke algebra elements

Thm (Lusztig): If (i_1, \dots, i_d) is reduced word, then $f_{(i_1, \dots, i_d)}$ acts homeomorphically on $\mathbb{R}_{>0}^d$.

Upshot: $f_{(i_1, \dots, i_d)}$ restricts to homeomorphism on each face given by reduced subword

Properties of Change-of-Coordinates Map Given by Braid Moves

e.g. $(t_1, t_2, t_3) \mapsto \left(\frac{t_2 t_3}{t_1 + t_3}, t_1 + t_3, \frac{t_1 t_2}{t_1 + t_3} \right)$
in type A

- Tropicalizes to change-of-basis map for Lusztig's canonical bases:

$$(a, b, c) \mapsto (b + c - \min(a, c), \min(a, c), a + b - \min(a, c))$$

- A motivation for development of cluster algebras (\pm mutation)

Suggested exercise: verify map is involution

Proof Strategy for Fomin-Shapiro

Conjecture (! for Images of Maps from Polytopes)

Set-up: Continuous, surjective fn

$$f: P \rightarrow Y$$

from convex polytope P s.t. f maps $\text{int}(P)$ homeomorphically to $\text{int}(Y)$.


Step 1: Perform "collapses" on ∂P preserving regularity and homeomorphism type - via continuous, surjective collapsing functions $P \rightarrow P$ yielding P/\sim with fewer cells s.t. $x_1 \sim x_2 \Rightarrow f(x_1) = f(x_2)$

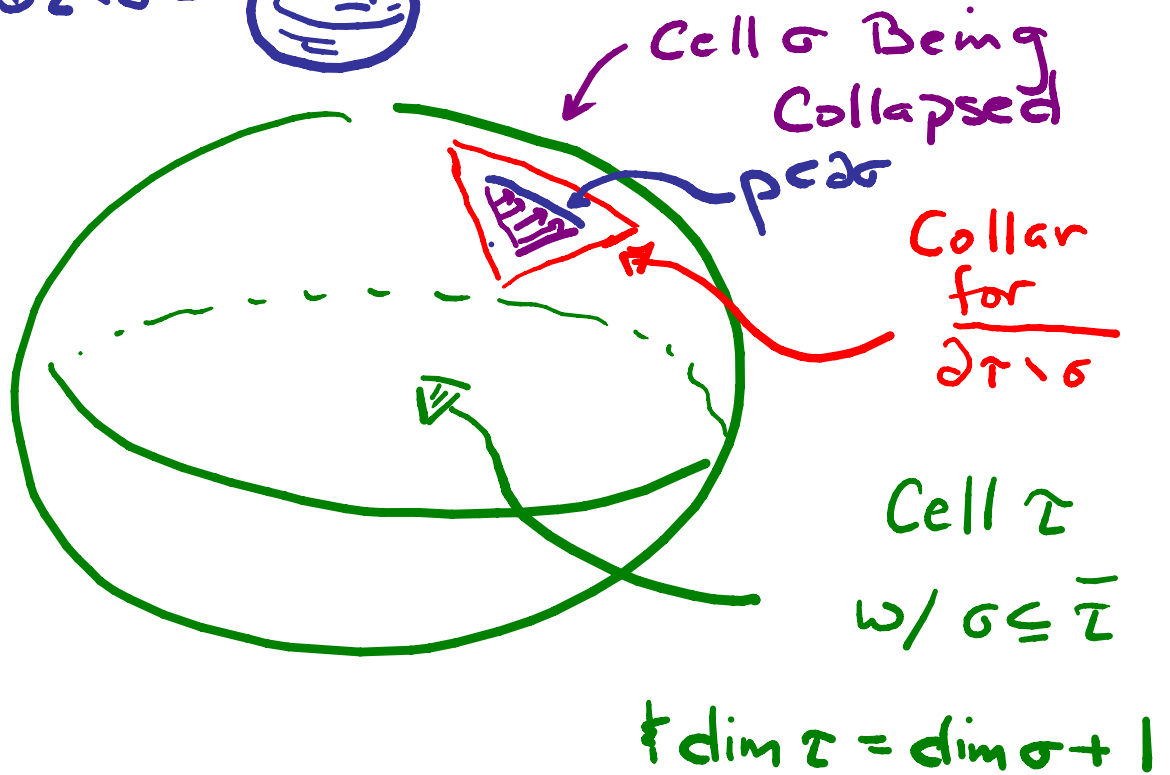
Step 2: Prove $\bar{f}: P/\sim \rightarrow Y$ is homeomorphism by new regularity criterion

Collapsing Cell σ onto Cell $\bar{p} \subseteq \bar{\sigma}$ within $\partial \tau$

Thm (M. Brown; Cannonly): Any topological manifold with boundary ∂M has a collar, i.e. a nbhd homeomorphic to $\partial M \times [0, 1]$ †

Fact: Our collapses preserve this for:

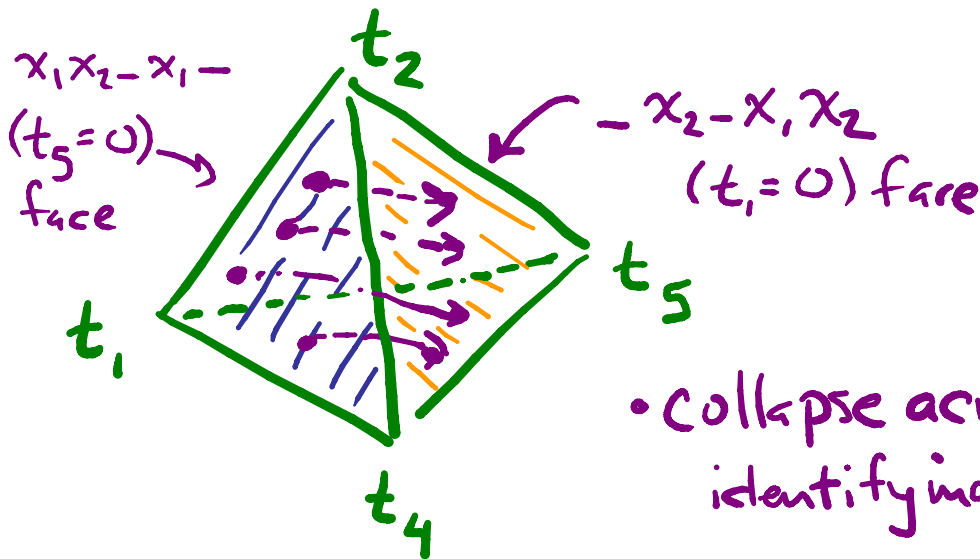
$$\overline{\partial \tau \setminus \sigma} =$$




Plan: Collapse $\bar{\sigma}$ onto $\bar{p} \subseteq \partial \sigma$, stretching collar for $\overline{\partial \tau \setminus \sigma}$ to cover $\bar{\sigma} \setminus \bar{p}$.

Collapsing "non-reduced" face Across Curves (each in Single Fiber)

e.g. $f_{(1,2,3,1,2)}$ face with $t_3=0$



- collapse across curves identifying $t_1, t_2, t_4 \neq t_2, t_4, t_5$ faces

$$(t_1, t_2, 0, t_4, t_5) \mapsto x_1(t_1) x_2(t_2) x_1(t_4) x_2(t_5)$$

only for $t_1 + t_4 > 0$

$$\begin{aligned} & \parallel \\ & x_2(t_1') x_1(t_2') x_2(t_4') x_2(t_5) \\ & \parallel \\ & x_2(t_1') x_1(t_2') x_2(t_4' + t_5) \end{aligned}$$

for $t_1' = \frac{t_2 t_4}{t_1 + t_4}$ $t_2' = t_1 + t_4$ $t_4' = \frac{t_1 t_2}{t_1 + t_4}$

curves: $t_1' = k_1 \neq t_2' = k_2 \neq t_4' + t_5 = k_3$

"Deletion Pairs": Transferring Coxeter Group Properties to 0 -Hecke Algebra

In a non-reduced expression $s_{i_1} \dots s_{i_d}$, let $\{s_{i_r}, s_{i_t}\}$ be a **deletion pair** if $s_{i_1} \dots s_{i_{r-1}}$ and $s_{i_{r+1}} \dots s_{i_t}$ are reduced expressions while $s_{i_1} \dots s_{i_t}$ is nonreduced.

Key Coxeter Group Property: Any two reduced expressions for same $w \in W$ connected by series of braid moves - ensures nonreduced expressions admit modified nit moves.

Collapsing Order: based on (1) leftmost deletion pair, (2) minimize $t-r$, (3) maximize cell dimension - amenable to induction on length: to well-defined changes-of-coord's on closed cells

New Regularity Criterion:

Prop'n (H.) Let K be a finite CW complex w/ characteristic maps $\{f_\alpha\}$.

Suppose

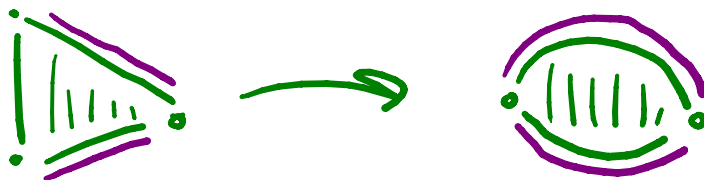
(1) $\forall \alpha, f_\alpha(\partial B^{\dim \alpha})$ is a union of open cells (surjectivity)

Non-Example:



(2) $\forall f_\alpha$, the preimages of the open cells of codim. one in \bar{e}_α are dense in $\partial(B^{\dim \alpha})$

Non-Example:



Then $F(K)$ is graded by cell dimension.

Remark: Next theorem "spreads around" injectivity requirement

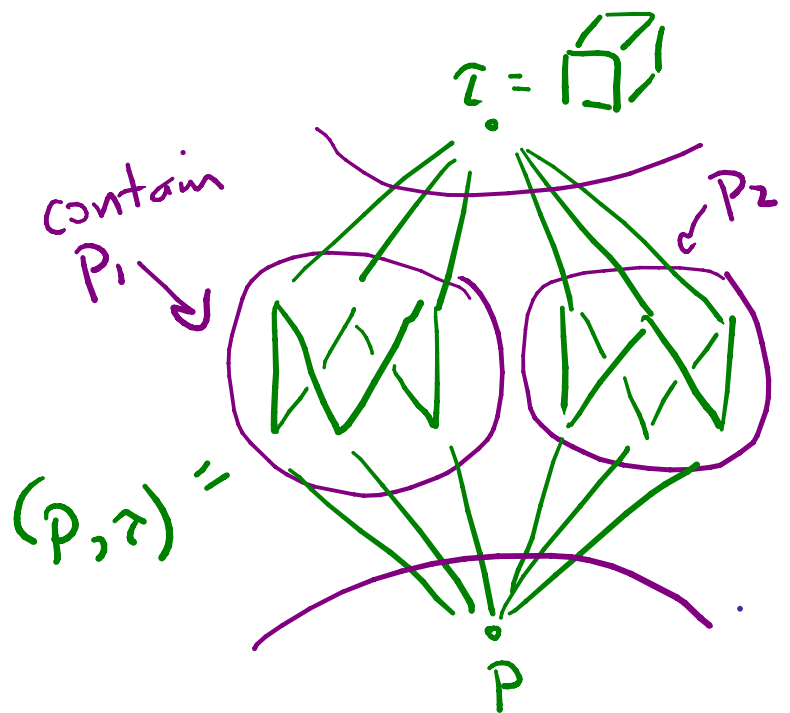
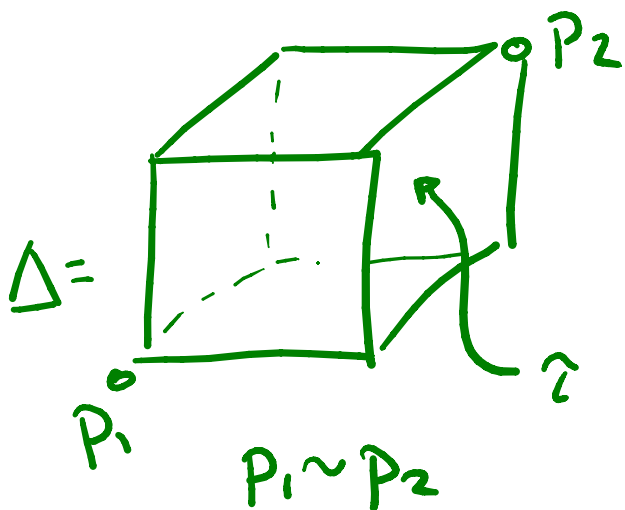
Thm (H.) Let K be finite CW complex w.r.t. characteristic maps $\{f_\alpha\}$. Then K is regular w.r.t. $\{f_\alpha\} \iff$

(1) K meets requirements of prop'n for $F(K)$ to be graded by cell dim.

(2) $F(K)$ is thin and each open interval (u, v) for $\dim(v) - \dim(u) > 2$ is connected (as graph)

(combinatorial condition)

Non-Example



(3) For each α , the restriction of f_α to preimages of codim. one cells in \bar{e}_α is injective.
 (topological condition)

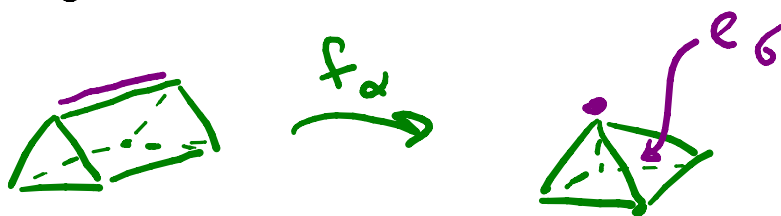
Non-Example:



(4) $\forall e_\sigma \subseteq \bar{e}_\alpha$, f_σ factors as continuous inclusion $i: B^{\dim \sigma} \rightarrow B^{\dim \alpha}$ followed by f_α .

Non-Example:

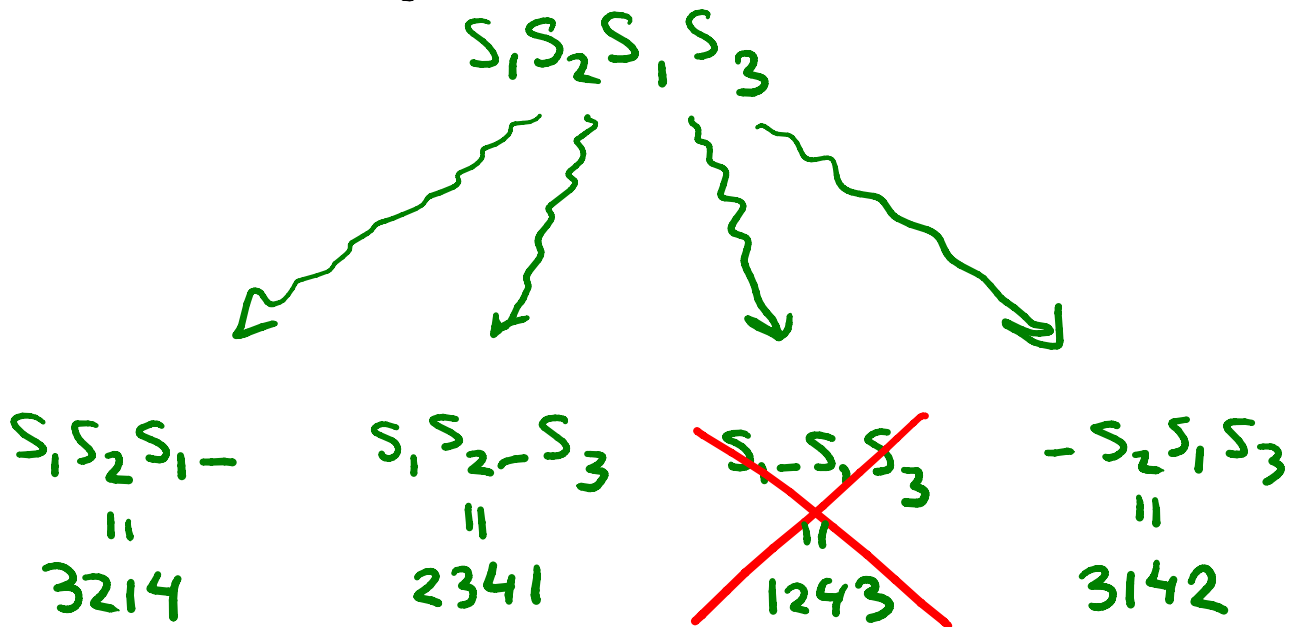
(due to David Speyer)



Notably Absent: Injectivity requirement for $\{f_\alpha\}$ beyond codim. one

Example Where Injectivity is (Much) Easier in Codimension One

By exchange axiom for Coxeter groups

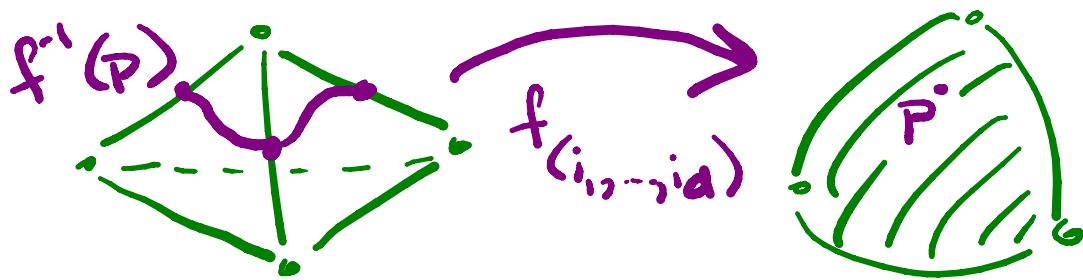


various ways to delete a letter obtaining reduced expression gives distinct Coxeter group elements

Higher Codim:
e.g.



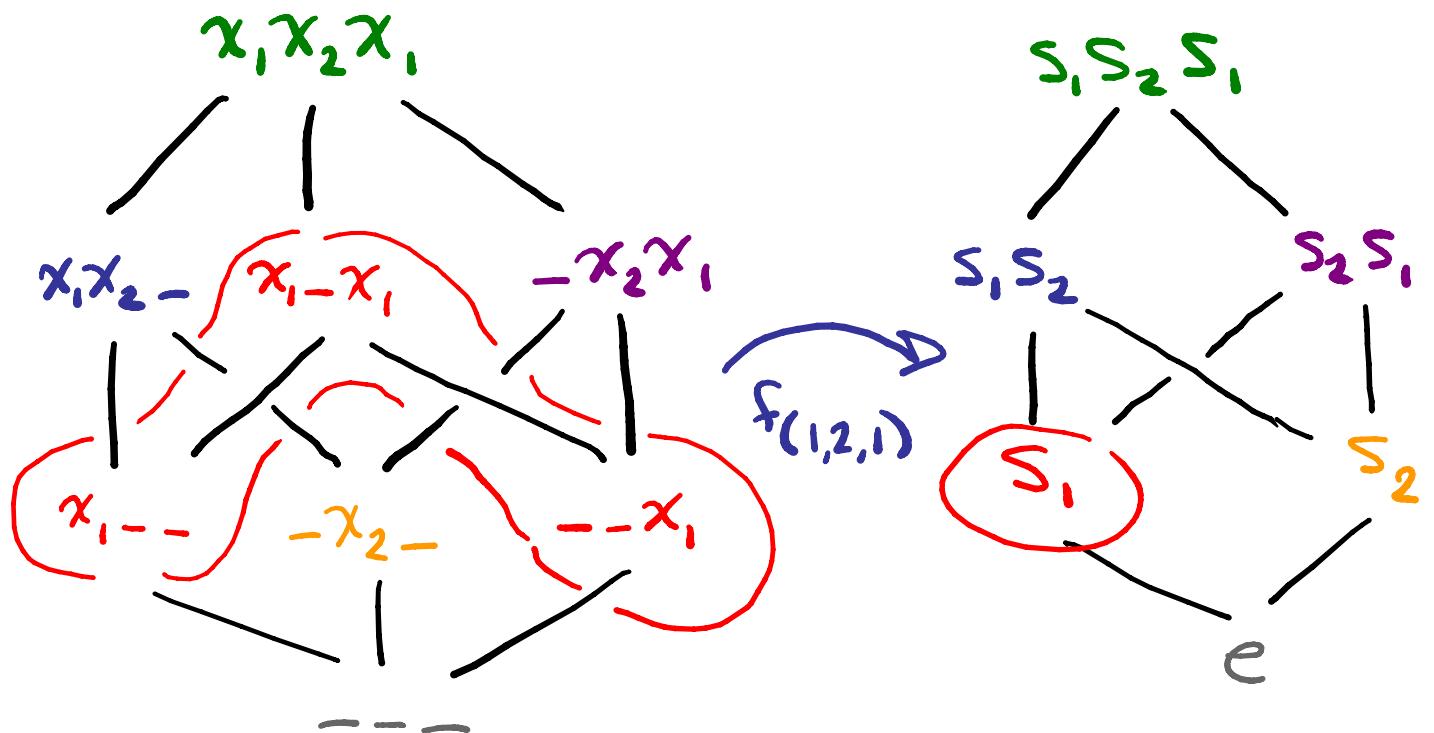
Conjecture (Davis-H. Miller): $f_{(i_1, \dots, i_d)}^{-1}(p)$ for each $p \in Y_\omega^\circ$ is a regular CW complex homeomorphic to a ball with closure poset dual to face poset for interior of subword complex $\Delta((i_1, \dots, i_d), \omega)$.



Remark: Subword complexes, discussed next, first arose in work of Knutson & Miller on matrix Schubert varieties as Stanley-Reisner complexes of initial ideals of coordinate rings

Qn: Is there a reason/unifying picture why they arise in both settings?

A Poset Map (on Face Posets)
induced by $f_{(i_1, \dots, i_d)}$ and an
implicit definition of subword complexes



Bodean Algebra

Bruhat Order

- Apply braid moves $\& x_i^2 \rightarrow x_i$ to get reduced expression; replace x_i 's by s_i 's
- Fibers $f_{\geq}^{-1}(u)$ are dual to face posets of subword complexes

"Topologist Approach" to Fomin-Shapiro
Conjecture (joint work in progress with
Jim Davis & Ezra Miller)

Combining Top'l Results: Let $g: B \rightarrow Z$ be
continuous surjection from ball B to
Hausdorff space Z whose restriction to
 $\text{int}(B)$ is an embedding. Suppose also:

$$(1) g(\partial B) \cong \partial B = S^n,$$

$$(2) g(\partial B) \cap g(\text{int}(B)) = \emptyset,$$

$$(3) g^{-1}(p) \text{ is contractible } \forall p \in g(\partial B).$$

Then $Z \cong B$.

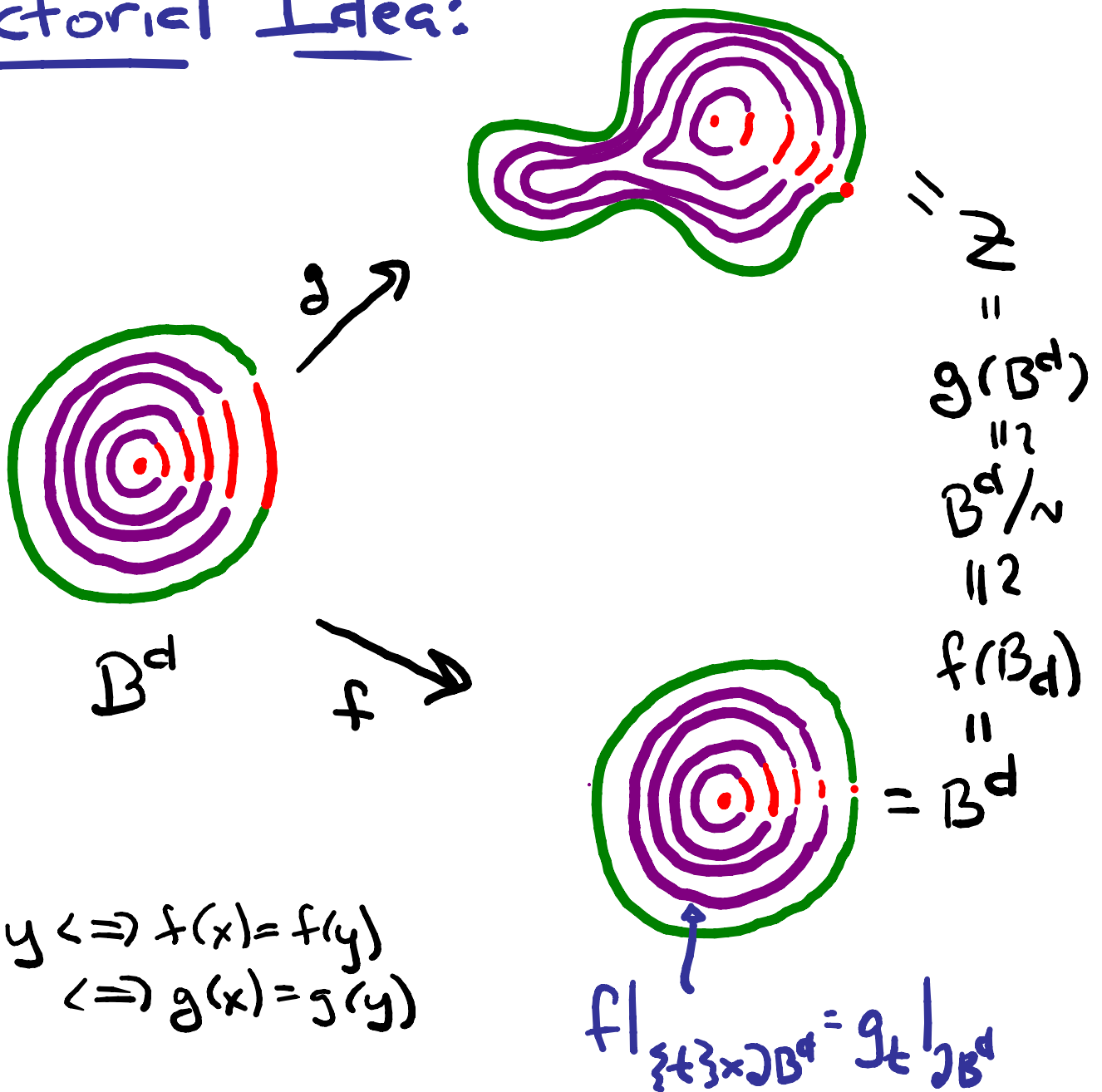
Ingredients in this Relationship Between Fibers \cong Image of "Nice" Map:

- CE-approx. theorem: $g: \partial B \rightarrow \partial B$ as above may be approximated by homeomorphisms
 - Kirby-Siebenmann: $\dim \geq 5$
 - Quinn: $\dim 4$
 - Armentrout + Poincaré Conjecture: $\dim 3$
- Local Contractibility of Homes (S^n, S^n) : two homeomorphisms "close enough" to each other may be connected by path of homeomorphisms

Idea: $B \cong \text{metric ball} = \{0\} \cup (0, 1] \times \partial B$.

Use path of homeomorphisms converging to $g|_{\partial B}$ to construct $f: B \rightarrow B$ with $f^{-1}(p) = g^{-1}(p) \forall p \in B$ and $f|_{\partial B} = g|_{\partial B}$, so $g(B) = B/\sim = f(B) \cong B$.

Pictorial Idea:



$$\begin{aligned} x \sim y &\Leftrightarrow f(x) = f(y) \\ &\Leftrightarrow g(x) = g(y) \end{aligned}$$

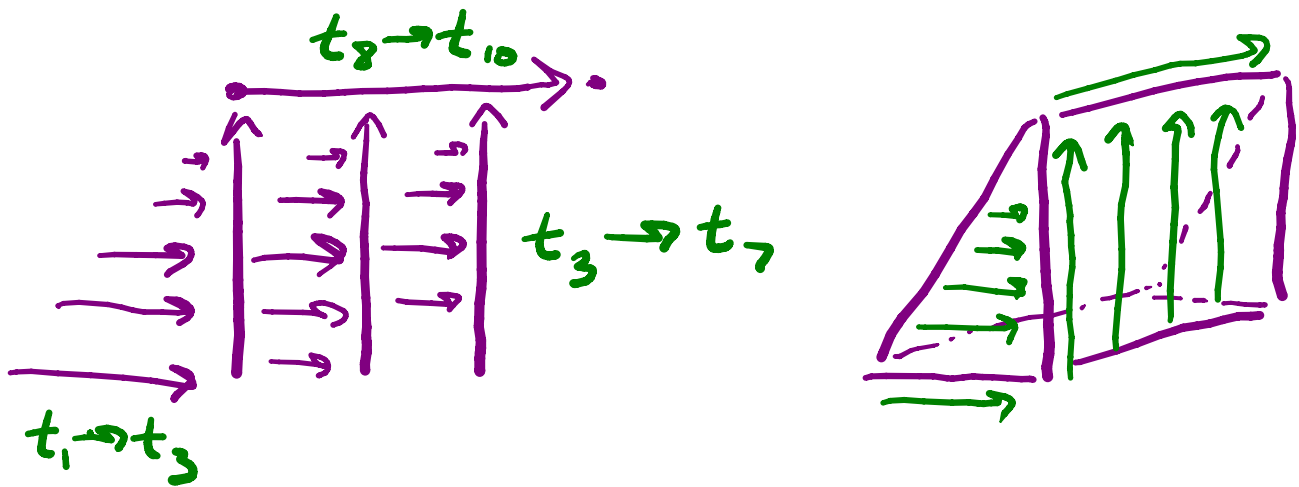
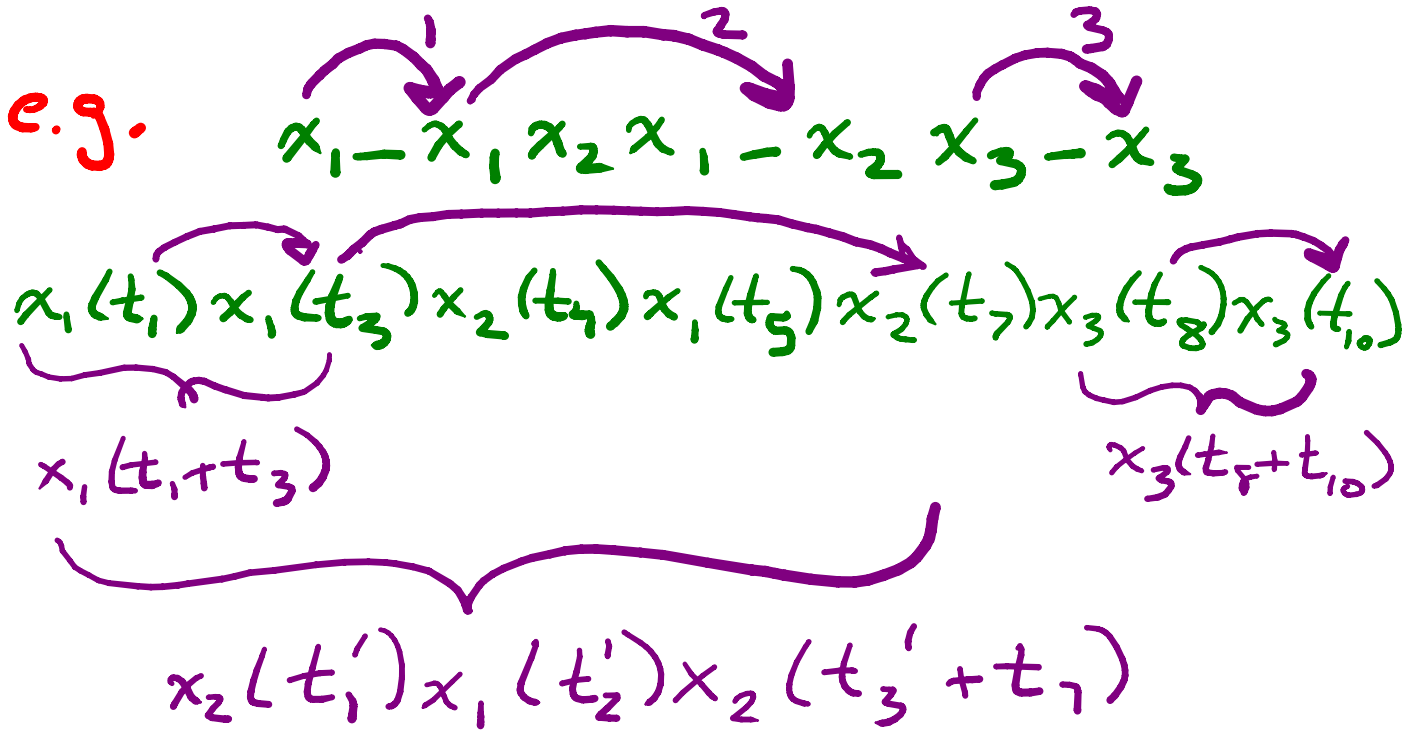
Remark: Completed proof of F-S Conjecture factored $f_{(i, \dots, i)}$ as series of such collapses where we construct paths of homeomorphisms & may check hypotheses combinatorially.

Checking Sphericity for $f_{(i_1, \dots, i_d)}(\partial \Delta^{d+1})$

1. Stratification has Bruhat intervals as closure posets.
2. By induction on dimension, cell closures in $f_{(i_1, \dots, i_d)}(\partial B)$ are balls.
3. Hence $f_{(i_1, \dots, i_d)}(\partial B)$ is regular CW complex, which we denote K .
4. Hence, $K \cong \Delta(F(K) \setminus \{\hat{0}, \hat{1}\}) \cong \text{sphere}$ since $F(K)$ is Bruhat order, hence thin and shellable, thus a sphere.

Upshot: Davis-H-Miller Conjecture would also imply Fomin-Shapiro Conjecture.

"Flow" on a Fiber from Collapsing Process to Base Point of Fiber



Further Questions

1. Analogous map, theory of "reduced expressions" & topol. results for totally nonnegative part of: Grassmannian? loop group? flag variety?

(partial results of Postnikov, Rietsch, Williams, Speyer, Marsh, ...)

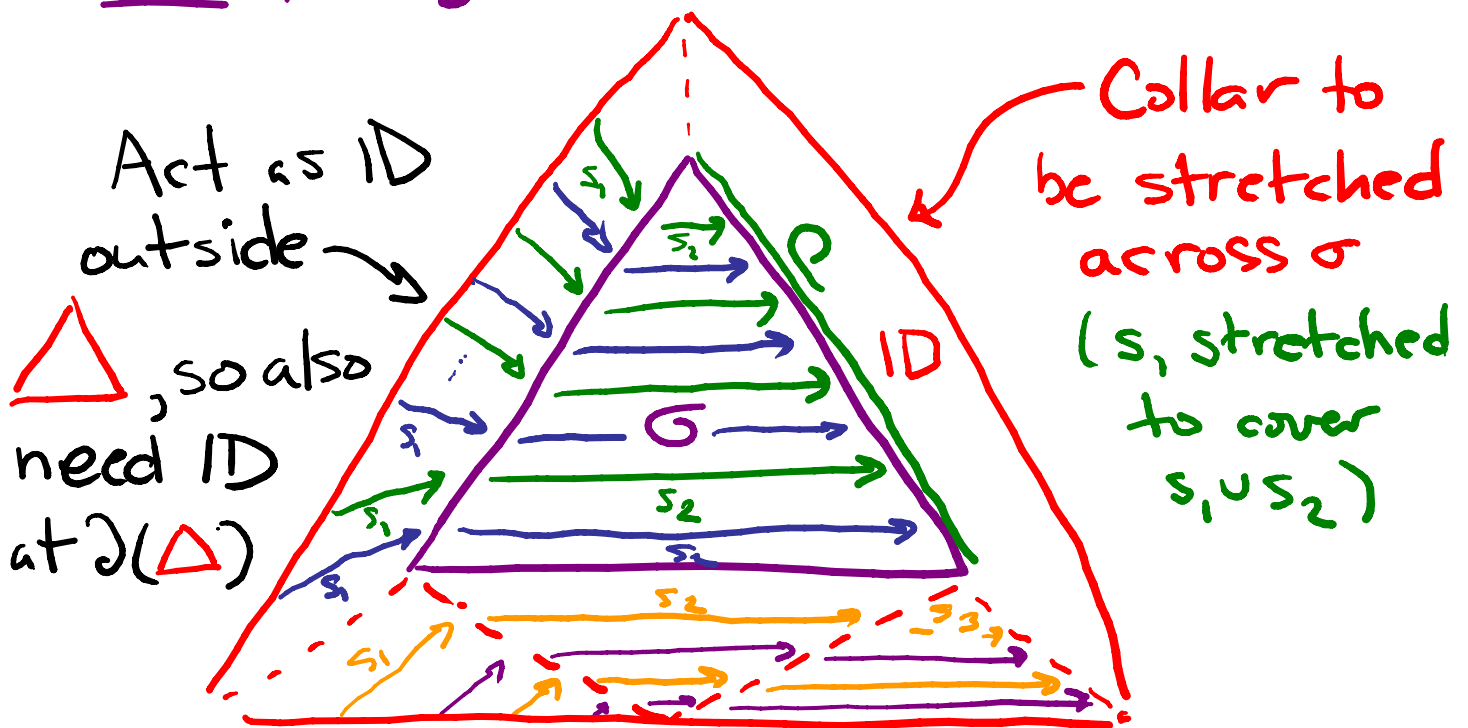
2. Explanation why subword complexes arising in distinct, but related settings? More general notion of subword complexes?

Thank you!

Connection to Schubert Varieties & Bruhat Decompositions

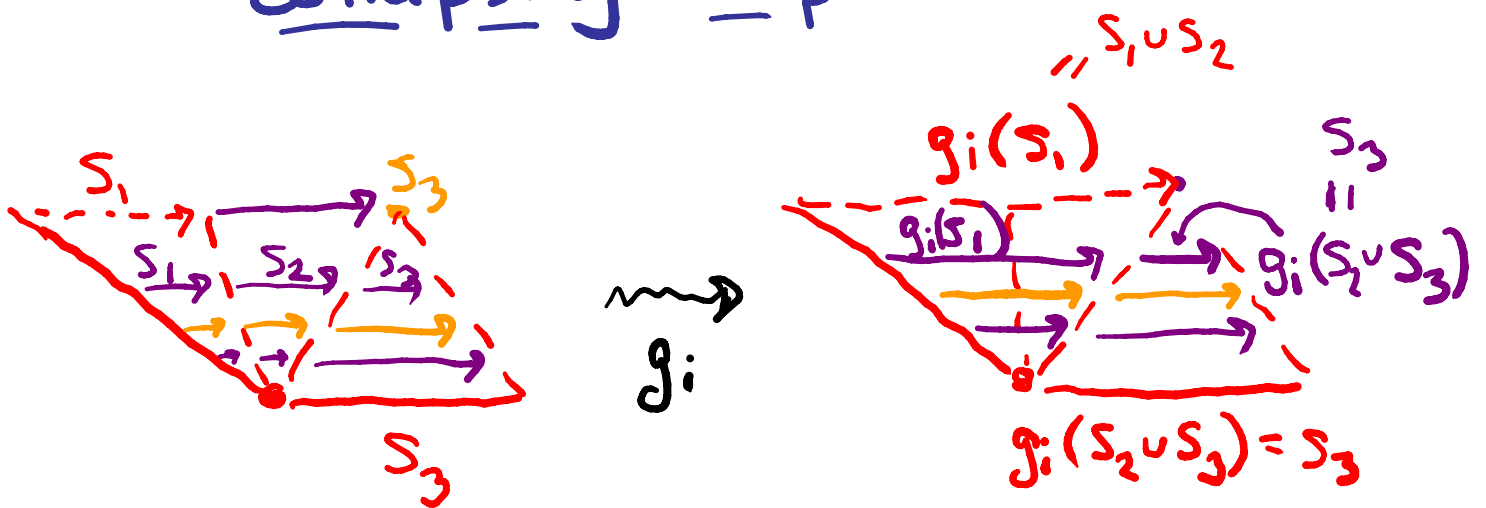
- $Y_w^\circ = \text{image of } f_{(i_1, \dots, i_d)}: \mathbb{R}_{>0}^d \rightarrow M_{n \times n}$
- $Y_w = \overline{Y_w^\circ} = \text{image from } \mathbb{R}_{\geq 0}^d$
= totally nonnegative part
of $\overline{B^- w B^-} \cap (\text{unipotent radical of } B)$
- $Y_{w_0} = \text{totally nonnegative part of space of upper triangular matrices w/ 1's on diagonal}$
(old result of Whitney-type A)

Collapsing a Cell $\bar{\sigma}$ onto a Cell $\bar{\rho}$



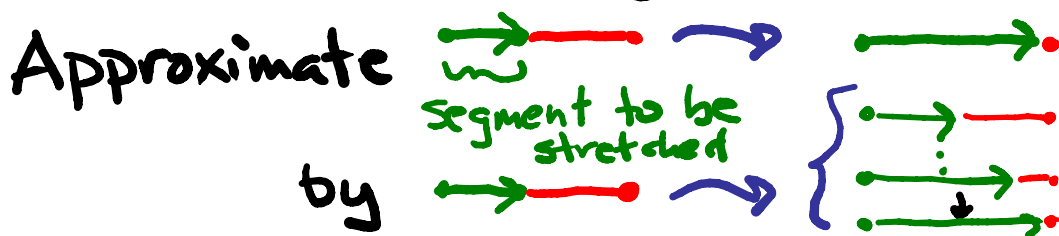
- Map segments s_2 in $\bar{\sigma}$ onto endpoint in $\bar{\rho}$, stretch extension $s_1 \in \text{collar}$ to cover $s_1 \cup s_2$, act as ID on $\bar{\rho} \times [0,1] \subseteq \text{collar}$.
- For $c \in \partial\sigma$, collapsing map on $C \times [0,1]$ will stretch s_1 to cover $s_1 \cup s_2$ & shorten $s_2 \cup s_3$ to cover s_3 , as depicted next.

"Close-up" of bottom part of collapsing map



Key Observations:

- (1) This type of collapse makes sense more generally, relying on existence of continuous fn $l_n: \bar{\sigma} \rightarrow \mathbb{R}$ sending point to "length" of segment in $\bar{\sigma}$ containing it.
- (2) These collapses are explicitly approximable by homeomorphisms:



(Mainly Combinatorial) Requirements

Enabling Collapses Across Curves

There is a series of earlier free collapses

$$\begin{array}{ccccccc} & & & \triangle & \text{(as } g_1 \text{ is surjection onto } \triangle) & & \\ & & & \parallel & & & \\ K_0 & \xrightarrow{g_1} & K_1 & \xrightarrow{g_2} & K_2 & \rightarrow \dots & \rightarrow K_i \\ \parallel & & \parallel & & \parallel & & \\ \triangle & & \triangle / \nu_1 & & \triangle / \nu_2 & & \end{array}$$

(new cell structure)

with closed cell of K_i covered by images of parallel line segments in K_0 with family \mathcal{C}_i of "parallel-like" curves satisfying:

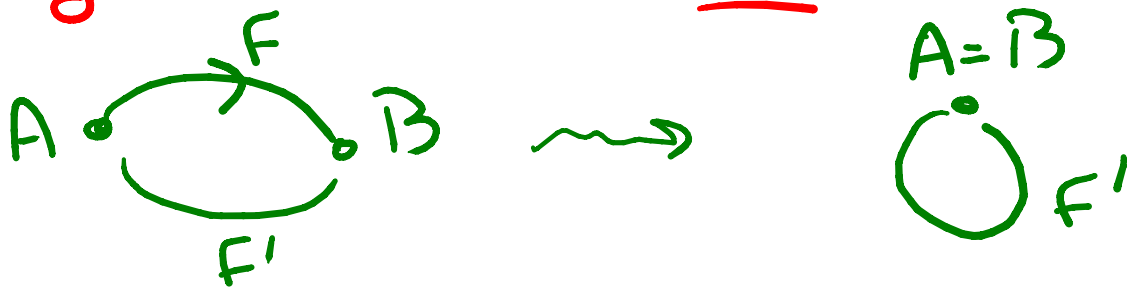
- **Distinct endpoints condition (DE):**
the two ends of a nontrivial curve live in cells not yet identified
- **Distinct initial points condition (DIP):**
distinct curves have distinct starting points (so collapse well-defined)

Condition to ensure collapses
preserve regularity (suggested by
David Speyer)

Least Upper Bd Condition:

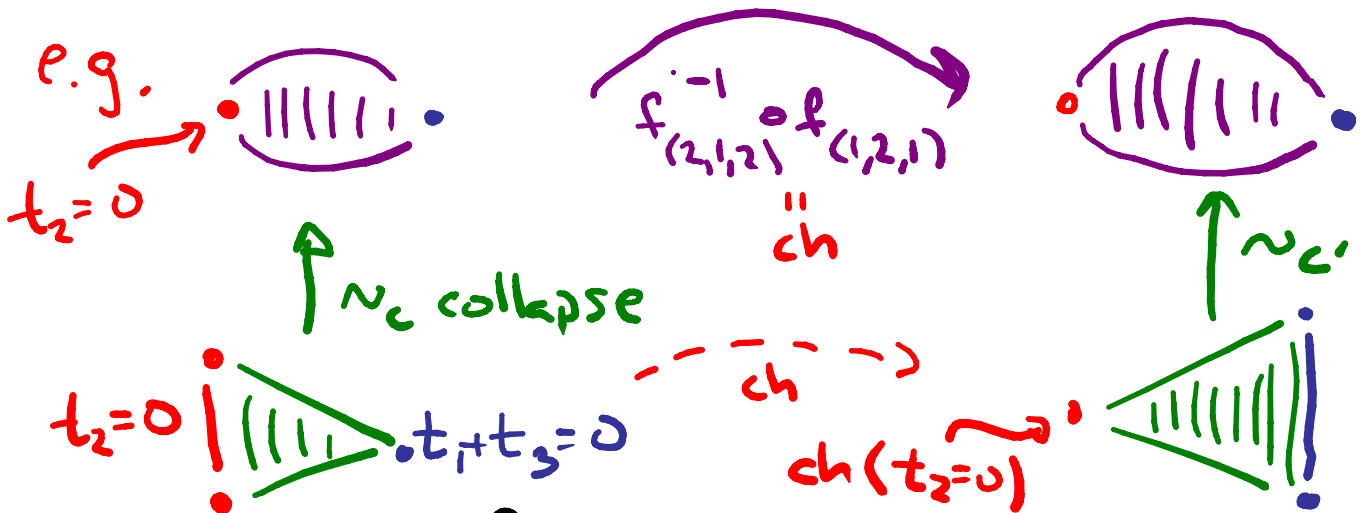
If cells $A \neq B$ are IDed via
face collapse of F , then all
least upper bounds for $A \neq B$
just prior to collapse must
also be collapsed in this step.

e.g. Want to prevent



Note: Conditions checkable with combinatorics
of reduced/nonreduced words of 0-Hecke algebra!

Long Braid Move as Change of Coord's Homeomorphism on Closed Cell to Be Collapsed



Key Lemma: Consider reduced expressions

$s_i s_j s_i \dots$ and $s_j s_i s_j \dots$ of length $m(i,j)$ and equivalence relations \sim_c and $\sim_{c'}$ on

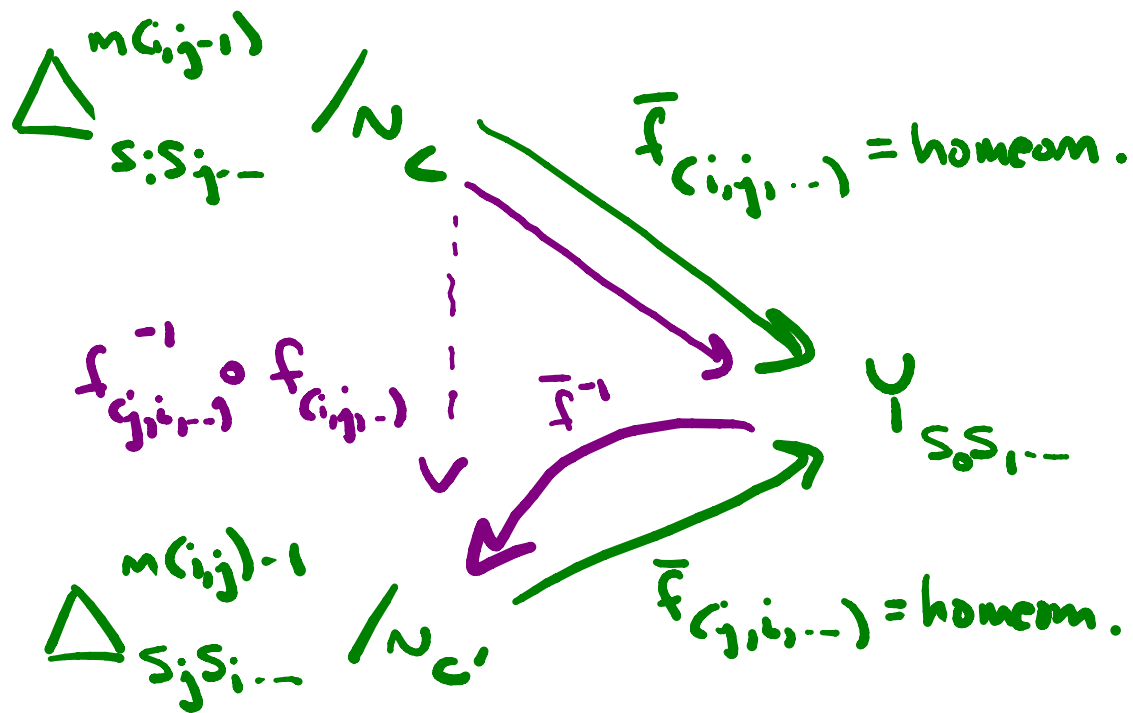
$\Delta_{s_i s_j \dots}^{m(i,j)-1}$ and $\Delta_{s_j s_i \dots}^{m(i,j)-1}$ given by identifications

based on commutation and "slide moves". Then

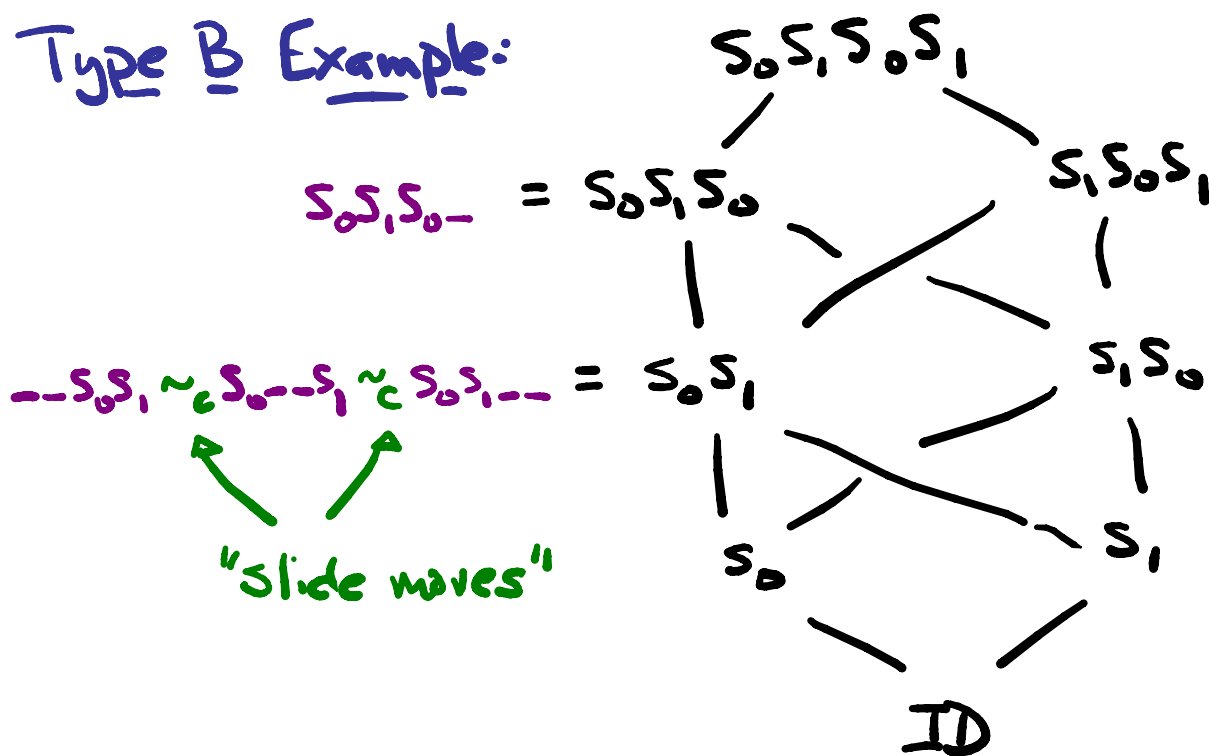
$\Delta_{s_i s_j \dots}^{m(i,j)-1} / \sim_c \cong \Delta_{s_j s_i \dots}^{m(i,j)-1}$ via the

homeomorphism $f_{(j,i,\dots)}^{-1} \circ f_{(i,j,\dots)}$.

Idea: Subwords of (i, j, \dots) and (j, i, \dots) do not admit any big braid moves, so:

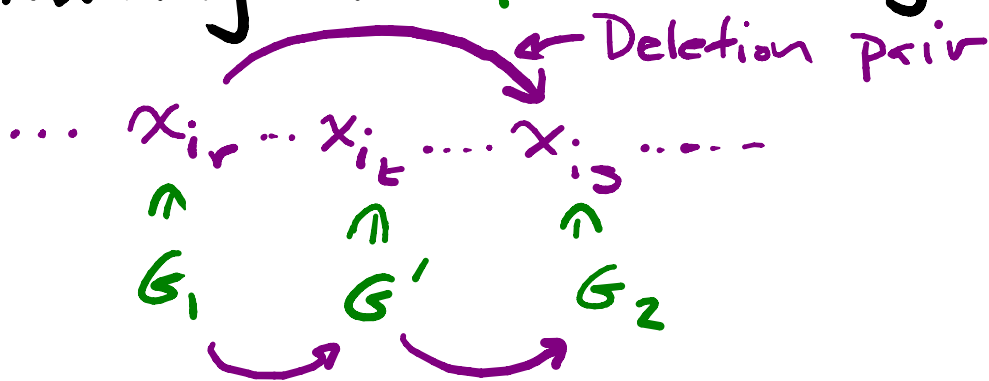


Type B Example:



Verifying DE (Distinct Endpoints
Condition) with Combinatorics
(Gives Flavor of Many Lemmas)

Suppose collapse of F uses curves starting in G_1 and ending in G_2



If G_1 were already identified earlier with G_2 then there exists G' with earlier steps identifying G_1 with G' and G' with G_2 . But the former would have also identified $G_1 \cup \{x_{i_s}\} = F$ with the cell $G' \cup \{x_{i_s}\} = F'$ which was already collapsed in step identifying G' with $G_2 \Rightarrow \Leftarrow =$

Subword Complexes (introduced by

$Q :=$ (not necessarily ^{Knutson & Miller} reduced) expression

$w :=$ Coxeter group element

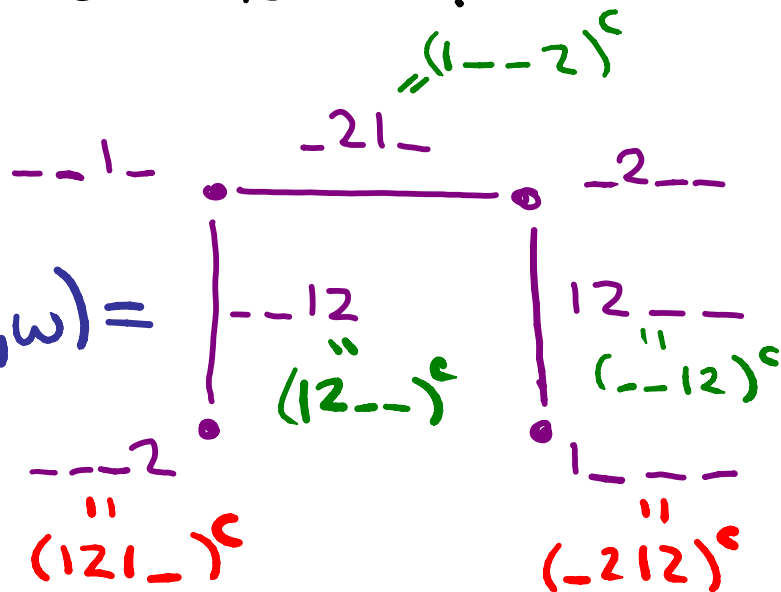
Facets of $\Delta(Q, w)$ are the subwords of Q whose complements are reduced words for w .

e.g.

$$Q = (1, 2, 1, 2)$$

$$w = s_1 s_2$$

$$\Delta(Q, w) =$$



Thm (Knutson-Miller): $\Delta(Q, w)$ is vertex decomposable (hence shellable) ball or sphere.

More Generally? "Fibers" of Parametrization Maps for Nonneg flag variety, loop groups, etc.?

Homotopy Type of Bruhat Intervals:

New Proof by Quillen Fibre Lemma

Thm (Armstrong-H.): The poset map

$f_{(ii \dots ia)}$ yields short proof of:

$$\Delta_{\text{Bruhat}}(u, v) \simeq S^{rk v - rk u - 2} \quad \text{for all } u \leq v$$

Idea: • fibers $f_{\geq}^{-1}(u) = \{x \in B_n \mid f(x) \geq u\}$

are dual to face posets of subword complexes - proven to be balls by

Allen Knutson & Ezra Miller.

Subword complexes previously arose as:

Stanley-Reisner complex for Gröbner degeneration of matrix Schubert variety ideal

(Knutson and Miller)